KALLMAN TODD

Form 4

April 02, 2003

SEC Form 3

FORM 3	UNITEI INITIAL STAT Filed pursuant to S Holding Compan	OMB APPROVAL OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response 0.5				
1. Name and Address of Reportin Kallman, Todd (Last) (First) United Technologies Corporation One Financial Plaza (Street) Hartford, CT 06101 (City) (State)	g Person* (Middle) 3.	Date of Event Requiring Statement (Month/Day/Year) April 01, 2003 I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	5. Rela Issuer 10% O Other Office: Descri	tionship of Reporting Personal (Check all applicable) Director wner X Officer Other ption Vice Presiden	on UTX on(s) to	6. If Amendment, Date of Original (Month/Day/Year) 7. Individual or Joint/Group Filing (Check Applicable Line) X Individual Filing Joint/Group Filing
Table I - Non-De 1. Title of Security (Instr. 4)	erivative Securities	2. Amount of Securities Beneficially Owned (Instr. 4)	·	3. Ownership Form : (D) Direct (I) Indirect (Instr. 5)		f Beneficial Ownership
Common Stock (Restricted)		,	000.000	D D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Form 3 (continued)

Table II - Derivative Securition option	ıts, calls, warrants,				
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable(DE) and Expiration Date(ED) (DE) (ED)	3. Title and Amount of of Underlying Security (Instr. 4)	4. Conversion or Exercise Price	Form	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Common Stock - 195.04	4 \$0.0000	I	By ESOP

^{*} If the form is filed by more than one reporting person, see Instruction 5(b)(v).

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ESOP Series A Convertible Preferred Stock					
Non-Qualified Stock Option (right to buy)	09/17/2004 09/16/2011	Common Stock - 10,000.000	\$47.5000	D	
Non-Qualified Stock Option (right to buy)	01/02/2005 01/01/2012	Common Stock - 18,300.000	\$64.3400	D	
Non-Qualified Stock Option (right to buy)	01/02/2006 01/01/2013	Common Stock - 19,600.000	\$63.4100	D	

Explanation of Responses:

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form one of signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient,

See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

Power of Attorney

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