Edgar Filing: SUNTRUST BANKS INC - Form 4

SUNTRUST BANKS INC

Form 4

February 12, 2003

_ Check this box if no

Form 4 or Form 5

See Instruction 1(b).

FORM 4

longer subject to Section 16.

obligations may continue.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

					ne and Ticl anks, Inc		P	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 303 Peachtree S	of Repo	rting tity (ntification N Person, voluntary) 8-1575035	lumbei	Mo	Statement for nth/Day/Year pruary 11, 2003	X 10 X O	X Director 10% Owner X Officer (give title below) Other (specify below) Chairman, President & Chief Executive Officer				
(Street) Atlanta, GA 30308							Dat (Mo	f Amendment, te of Original onth/Day/Year)	((<u>X</u> P _ R	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City) (State) (Zip)			T	able	I Non-D	erivati	ve Sec	urities Acquired, Disposed of, or Beneficially Owned				
Security	action Date	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction Constr. 8	Code	4. Securitie (A) or Disp (Instr. 3, 4 Amount	osed o		5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock									33,434	D		
Common Stock									150,000	I	(1)	
Common Stock									22,027	I	Trust(2)	
Common Stock								27	,154.218	I	401(k)(3)	
Common Stock									33,200	I	Spouse	
Common Stock	2/11/03		A		6,630	A			186,630	I	Restricted Stock(4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1 m: 1 c	2.0	h	h.	4	5 NT 1		L D . E		7. Title and		0 D : C	0.37 1 0	1.0	11 31
	2. Conver-		3A.	4.	5. Numb	oer							10.	11. Natu
		Trans-		Trans-	of		and Expiration					Derivative	Owner-	of Indire
Security	Exercise				Derivati		Date		Underlying		Security	Securities	ship	Benefici
	Price of	Date	Date,	Code	Securitie		(Month/Day/		Securities		` /			Ownersl
(Instr. 3)	Derivative				(Instr. 3 &	2 4)		Owned	of Deriv-	(Instr. 4)				
	Security	(Month/	(Month/	(Instr.	(A) or								ative	
		Day/	Day/	8)	Dispose	d of						Reported	Security:	
		Year)	Year)		(D)							Transaction(s)	Direct	
												(Instr. 4)	(D)	
					(Instr. 3,	, 4							or	
					& 5)								Indirect	
				Code V		(D)	Date	Expira-	Title	Amount	1		(I)	
				Code	(11)	(D)		tion	Title	or			(Instr. 4)	
							Exci-cisable	Date		Number				
								Date		of				
										Shares				
Phantom	1 for 1						(5)	(5)	Common			8,011.308	D	
Stock	1 101 1						(3)	(3)	Stock			0,011.500	l D	
Units ⁽⁵⁾									Stock					
	1 6 1			 			(6)	(6)	C			140,000		
Phantom	1 for 1						(6)	(6)	Common			140,000	D	
Stock									Stock					
Units(6)				 			0.10.10.7	0.17.10.7	~					
Option ⁽⁷⁾	30.25						8/8/95	8/7/05	Common			6,600	D	
									Stock					
Option(8)	73.0625						11/9/02	11/9/09	Common			75,000	D	
F									Stock					
0 4 (0)							111110	44440	~			450000		
Option(9)	51.125						11/14/03	11/14/10	Common			150,000	D	
									Stock					
Option (9)	64.57						11/13/04	11/13/11	Common			150,000	D	
									Stock			,		
0 (1 (0)	74.0 0	0/11/02		+ + +	4.50.000		0114106	0/11/12	G	450.000		450,000		
Option (9)	54.28	2/11/03		A	150,000	1	2/11/06	2/11/13	Common	150,000		150,000	D	
									Stock					

Explanation of Responses:

- (1) Held by Humann Partners, L.P.
- (2) Held in trust by spouse for members of immediate family.
- (3) Because the stock fund component of the 401(k) Plan is accounted for in unit accounting, the number of share equivalents varies based on the closing price of SunTrust stock on the applicable measurement date.
- (4) Restricted stock held under 1986 SunTrust Executive Stock Plan, 1995 SunTrust Executive Stock Plan and SunTrust Banks, Inc. 2000 Stock Plan. Subject to certain vesting conditions. Restricted stock agreements contain tax withholding features allowing stock to be withheld to satisfy tax withholding obligations. All plans are exempt under Rule 16(b)-3.
- (5) The reported phantom stock units were acquired under SunTrust Banks, Inc.s' 401(k) excess benefit plan.
- (6) Granted in exchange for restricted stock. Will be paid out on various dates.
- (7) The option becomes exercisable in 10% increments over 10 years or in the event of death, disability or change of control pursuant to the 1995 Executive Stock Plan.
- (8) Granted pursuant to the 1995 SunTrust Executive Stock Plan.
- (9) Granted pursuant to the SunTrust Banks, Inc. 2000 Stock Plan.

By: /s/ Margaret Hodgson, Attorney-in-Fact for L. Phillip
Humann

February 12, 2003

Date

^{**}Signature of Reporting Person

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**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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