

TRUMBULL R SCOTT

Form 4

November 22, 2017

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box  
 if no longer  
 subject to  
 Section 16.  
 Form 4 or  
 Form 5  
 obligations  
 may continue.  
*See Instruction*  
 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 TRUMBULL R SCOTT

2. Issuer Name **and** Ticker or Trading  
 Symbol  
 COLUMBUS MCKINNON CORP  
 [CMCO]

5. Relationship of Reporting Person(s) to  
 Issuer

(Check all applicable)

(Last) (First) (Middle)  
 205 CROSSPOINT PARKWAY  
 (Street)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 11/20/2017

☐ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

GETZVILLE, NY 14068

4. If Amendment, Date Original  
 Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
 Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
 Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code V	Amount (D) Price	13,872	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u> <u>(2)</u>	11/20/2017		A		0.3841		<u>(3)</u>	<u>(3)</u>	Common Stock	381.8178
Restricted Stock Units	<u>(1)</u> <u>(2)</u>	11/20/2017		A		0.7682		<u>(4)</u>	<u>(4)</u>	Common Stock	756.307
Restricted Stock Units	<u>(1)</u> <u>(2)</u>	11/20/2017		A		1.5364		<u>(5)</u>	<u>(5)</u>	Common Stock	1,501.9596

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TRUMBULL R SCOTT 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068	X			

## Signatures

By: Mary C. O'Connor, Power of Attorney for Richard Scott  
Trumbull

11/22/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.
- (2) Represents additional restricted stock units attributable to dividend reinvestment.
- (3) Subject to forfeiture, units become fully vested on July 27, 2018, if reporting person remains a director of issuer.
- (4) Subject to forfeiture, units become fully vested and non-forfeitable 50% on July 18, 2018 and 50% on July 18, 2019, if reporting person remains a director of issuer.
- (5) Subject to forfeiture, units become fully vested and non-forfeitable 50% on July 24, 2018, 25% on July 24, 2019 and 25% on July 24, 2020, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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