Edgar Filing: CARNIVAL CORP - Form 4

Form 4											
February 07,										PPROVAL	
FORM	4 UNITED S	STATES					NGE C	OMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 10 Form 4 or Form 5	er STATEM 6. Filed pure	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								January 31, 2005 average rs per 0.5	
obligation may conti <i>See</i> Instru 1(b).	inue. Section 17(a	· ·		tility Hold vestment	U	· ·	•	1935 or Section 0	1		
(Print or Type R	(esponses)										
1. Name and A ARISON SH	ddress of Reporting I IARI	Person <u>*</u>	Symbol	Name and			ng	5. Relationship of Issuer	Reporting Pers	son(s) to	
(Last)	(First) (N	/liddle)	CARNIVAL CORP [CCL] (Check 3. Date of Earliest Transaction						k all applicable)		
	L ARISON ON, MARCAZ (AUL HAMALEC		(Month/E 02/05/2	•				below)	title10% below) Footnote (1)	Owner er (specify	
(Street) 4. If A				onth/Day/Year) Applicable Line) _X_Form filed by Form filed by 1			oint/Group Filing(Check One Reporting Person More than One Reporting				
		(7:)						Person			
(City)		(Zip)					_	uired, Disposed of		-	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	Code (Instr. 8)	4. Securities Acquired 5. Amount of ior(A) or Disposed of (D) Securities (Instr. 3, 4 and 5) Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock								1,200	Ι	By Children	
Common Stock	02/05/2007			S	100 (2)	D	\$ 50.28	2,320,808	D <u>(1)</u>		
Common Stock	02/05/2007			S	600 <u>(2)</u>	D	\$ 50.29	2,320,208	D (1)		
Common Stock	02/05/2007			S	800 (2)	D	\$ 50.3	2,319,408	D (1)		
	02/05/2007			S	100 (2)	D		2 319 308	D (1)		

Common Stock				\$ 50.31		
Common Stock	02/05/2007	S	700 <u>(2)</u> D	\$ 50.32	2,318,608	D <u>(1)</u>
Common Stock	02/05/2007	S	300 <u>(2)</u> D	\$ 50.33	2,318,308	D (1)
Common Stock	02/05/2007	S	200 <u>(2)</u> D	\$ 50.34	2,318,108	D (1)
Common Stock	02/05/2007	S	100 <u>(2)</u> D	\$ 50.35	2,318,008	D (1)
Common Stock	02/05/2007	S	200 <u>(2)</u> D	\$ 50.36	2,317,808	D (1)
Common Stock	02/05/2007	S	400 <u>(2)</u> D	\$ 50.37	2,317,408	D (1)
Common Stock	02/05/2007	S	200 <u>(2)</u> D	\$ 50.38	2,317,208	D (1)
Common Stock	02/05/2007	S	700 <u>(2)</u> D	\$ 50.39	2,316,508	D (1)
Common Stock	02/05/2007	S	600 <u>(2)</u> D	\$ 50.4	2,315,908	D (1)
Common Stock	02/05/2007	S	200 <u>(2)</u> D	\$ 50.41	2,315,708	D (1)
Common Stock	02/05/2007	S	300 <u>(2)</u> D	\$ 50.42	2,315,408	D (1)
Common Stock	02/05/2007	S	300 <u>(2)</u> D	\$ 50.43	2,315,108	D (1)
Common Stock	02/05/2007	S	200 <u>(2)</u> D	\$ 50.44	2,314,908	D (1)
Common Stock	02/05/2007	S	1,100 (2) D	\$ 50.45	2,313,808	D (1)
Common Stock	02/05/2007	S	600 <u>(2)</u> D	\$ 50.46	2,313,208	D (1)
Common Stock	02/05/2007	S	800 <u>(2)</u> D	\$ 50.47	2,312,408	D (1)
Common Stock	02/05/2007	S	400 <u>(2)</u> D	\$ 50.48	2,312,008	D (1)
Common Stock	02/05/2007	S	100 <u>(2)</u> D	\$ 50.49	2,311,908	D (1)
Common Stock	02/05/2007	S	200 <u>(2)</u> D	\$ 50.5	2,311,708	D (1)
	02/05/2007	S	D		2,310,608	D (1)

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Common Stock			1,100 (2)	\$ 50.51
Common Stock	02/05/2007	S	300 <u>(2)</u> D	\$ 2,310,308 D (1)
Common Stock	02/05/2007	S	1,000 (2) D	\$ 2,309,308 D (1)
Common Stock	02/05/2007	S	1,000 (2) D	\$ 2,308,308 D (1)
Common Stock	02/05/2007	S	200 <u>(2)</u> D	\$ 2,308,108 D (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ARISON SHARI C/O ISRAEL ARISON FOUNDATION MARCAZ GOLDA CTR 23 SHAUL HAMALECH BLVD TEL AVIV, L3 64367				See Footnote (1)		

Signatures

/s/ John J. O'Neil, Attorney-in-Fact

02/07/2007

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation. However, the reporting person disclaims such group membership, and this report shall not be deemed an admission that the

- corporation. However, the reporting person discrams such group membership, and this report shart hot be deened an admission that is reporting person is a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for any other purpose.
- (2) The shares covered by this form were sold pursuant to a Rule 10b5-1(c) sales plan dated January 3, 2007.

Remarks:

Form 1 of 3 Form 4s

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.