

Edgar Filing: HAMMOND CHAMBERS ALEX - Form 3

HAMMOND CHAMBERS ALEX

Form 3

January 10, 2003

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden
hours per response.....0.5

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

Hammond-Chambers Alex

(Last) (First) (Middle)

29 Rutland Square

(Street)

Edinburgh U.K. EH1-2BW

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)

6/20/2002

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

The R.O.C. Taiwan Fund

5. Relationship of Reporting Person to Issuer
(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

TRUSTEE

6. If Amendment, Date of Original (Month/Day/Year)

Edgar Filing: HAMMOND CHAMBERS ALEX - Form 3

7. Individual or Joint/Group Filing (Check applicable line)

- Form Filed by One Reporting Person
- Form Filed by More than One Reporting Person

=====

TABLE I -- NON-DERIVATIVE SECURITIES BENEFICIALLY OWNED

=====

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature (Instr.) |
|--|---|---|-----------------------|
| Shares of beneficial interest, par value \$0.01 per share | None | None | None |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the Form is filed by more than one Reporting Person, see Instruction 5(b)(v).

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.

(Over)
SEC 1473 (3-99)

FORM 3 (continued)

=====

TABLE II -- DERIVATIVE SECURITIES BENEFICIALLY OWNED
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

=====

| 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conve sion Exerc |
|--|---|---------------------------|
| ----- | ----- Amount or | |

Edgar Filing: HAMMOND CHAMBERS ALEX - Form 3

| 1. Title of Derivative Security (Instr. 4) | Date Exer- cisable | Expira- tion Date | Title | Number of Shares | Price Deriv Secur |
|---|--------------------------|-------------------------|-------|------------------------|-------------------------|
| ----- | | | | | |
| ----- | | | | | |
| ----- | | | | | |
| ----- | | | | | |
| ----- | | | | | |
| ===== | | | | | |

Explanation of Responses:

/s/ Alex Hammond-Chambers

12/12/02

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED
IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY
VALID OMB CONTROL NUMBER.