## Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP

| Form 4   | 011  |              |            |             |            |   |  |  |   |             |   |  |            |  |
|--|--|--------------|------------|-------------|------------|---|--|--|---|-------------|---|--|------------|--|
| November 28, 2   |  |              |            |             |            |   |  |  |   |             |   | PPROV  | <b>Δ</b> 1 |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION                  |  |              |            |             |            |   |  |  | <u> </u>  | OMB         |   | -0287  |            |  |
| Check this box Washington, D.C. 20549                                    |  |              |            |             |            |   |  |  |   | lumber:     | Janua   | ry 31,   |            |  |
| if no longer<br>subject to<br>Section 16.<br>Form 4 or                   |  |              |            |             |            |   | F<br>E<br>b                                      | Expires: Estimated average burden hours per response |   | 2005        |   |  |            |  |
| Form 5<br>obligations<br>may continue<br><i>See</i> Instruction<br>1(b). | Section 17(  | a) of the I  | Public U   |             | oldi       | ing Co  | npan   | y Act  | nge Act of 1934,<br>of 1935 or Secti<br>940                                       |             | ·   |  |            |  |
| (Print or Type Resp  | onses)   |              |            |             |            |   |  |  |   |             |   |  |            |  |
| 1. Name and Addre<br>SUMME GREC  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>STATE STREET CORP [STT] |              |            |             |            |   | 5. Relationship of Reporting Person(s) to Issuer |  |   |             |   |  |            |  |
|  |  |              |            |             |            |   | -  | L  | (Check all applicable)  |             |   |  |            |  |
| C/O STATE ST<br>CORPORATIC<br>STREET                                     | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>11/23/2011                |              |            |             |            | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below)              |  |  |   |             |   |  |            |  |
| Filed(Month/Day/Year) Applicable Line                                    |  |              |            |             |            | Applicable Line)<br>_X_ Form filed by   | y One  | oint/Group Filing(Check<br>One Reporting Person      |   |             |   |  |            |  |
| BOSTON, MA   | 02111  |              |            |             |            |   |  |  | Form filed by Person  | More        | than One R  | eporting   |            |  |
| (City)   | (State)  | (Zip)        | Tab        | ole I - Noi | n-De       | erivative   | Secu   | rities A   | cquired, Disposed   | of, or      | Beneficia   | lly Owne   | d          |  |
|  | ransaction Date<br>onth/Day/Year)  |              |            |             | tion/<br>I | 4. Securities<br>onAcquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)<br>(A)<br>or |  | )  | SecuritiesFeBeneficially(IOwned(IFollowing(IReportedTransaction(s)                |             | Ownership<br>orm: Direct<br>O) or Indirect<br>)<br>nstr. 4) | 7. Nature o<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) | al<br>nip  |  |
|  |  |              |            | Code        | V          | Amount  | (D)  | Price  | (Instr. 3 and 4)  |             |   |  |            |  |
| Reminder: Report of  | on a separate line   | for each cla | ass of sec | urities be  | nefic      | cially ow   | ned di   | rectly o   | or indirectly.  |             |   |  |            |  |
|  |  |              |            |             |            | inforr<br>requi   | natio<br>red to<br>ays a                         | n cont<br>respo                                      | pond to the colle<br>ained in this forn<br>ond unless the fo<br>ntly valid OMB co | n are<br>rm | not   | SEC 1474<br>(9-02)   |            |  |
|  | Tab  |              |            |             |            |   |  |  | Beneficially Owner<br>securities)   | d           |   |  |            |  |

1. Title of<br/>Derivative2.3. Transaction Date3A. Deemed4.5. Number6. Date Exercisable and<br/>Expiration Date7. Title and Amount of<br/>Derivative8. Price<br/>Derivative1. Title of<br/>Derivative2.3. Transaction Date4.5. Number6. Date Exercisable and<br/>Expiration Date7. Title and Amount of<br/>Derivative8. Price<br/>Derivative

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| Security<br>(Instr. 3) | or Exercise<br>Price of<br>Derivative<br>Security | any<br>(M  | ıy<br>Aonth/Day/Year) | Code Securities<br>ar) (Instr. 8) Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |   | (Month/Day/Year) |     | (Instr. 3 and 4)    |                    | Securit<br>(Instr. |  |        |
|------------------------|---|------------|-----------------------|---|---|------------------|-----|---------------------|--------------------|--------------------|--|--------|
|                        |   |            |                       | Code  | V | (A)              | (D) | Date<br>Exercisable | Expiration<br>Date | Title              | Amount<br>or<br>Number<br>of<br>Shares |        |
| Cash<br>Only<br>Right  | <u>(1)</u>  | 11/23/2011 |                       | Ι   |   | 7,371            |     | <u>(1)</u>          | <u>(1)</u>         | Common<br>Stock    | 7,371                                  | \$ 36. |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|--|--|
| 1   | Director      | 10% Owner | Officer | Other |  |  |  |  |  |
| SUMME GREGORY L<br>C/O STATE STREET CORPORATION<br>ONE LINCOLN STREET<br>BOSTON, MA 02111 | Х             |           |         |       |  |  |  |  |  |
| Signatures  |               |           |         |       |  |  |  |  |  |
| /s/ Shannon C. Stanley, Attorney in fact  | 11/28/        | 2011      |         |       |  |  |  |  |  |
| <u>**</u> Signature of Reporting Person   | Da            | te        |         |       |  |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These rights, which represent the right to receive cash equal to the fair market value of the underlying common stock at the time of (1) settlement, are held under State Street's Deferred Compensation Plan for Directors and will be settled upon the reporting person's retirement from the Board unless transferred to an alternative investment option prior to retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.