

Edgar Filing: STATE STREET CORP - Form 3

STATE STREET CORP

Form 3

April 17, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

1. Name and Address of Reporting Person

Gavell, Stefan M
State Street Corporation
225 Franklin Street
Boston, MA 02110

2. Date of Event Requiring Statement (Month/Day/Year)
3/12/02

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

State Street Corporation
STT

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)

Acting EVP, CFO and Treasurer

6. If Amendment, Date of Original (Month/Day/Year)
3/19/02

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

1. Title of Security	2. Amount of Securities Beneficially Owned	3. Ownership Form: Direct (D) or Indirect (I)	4. Nature of Beneficial
Common Stock	3,812	D	
Common Stock	4,470	I	401(k) Plan(1)

Table II -- Derivative Securitized Beneficially Owned

1. Title of Derivative Security	2. Date Exer- cisable and Expiration Date (Month/ Day/Year)	3. Title and Amount of Underlying Securities	4. Conver- sion or exercise price of deri- vative Security	5. Owne- ship Form of Deriv- ative Securit Direct (
Employee Stock Option (right to buy)	6/19/98- 6/18/07	Common Stock	3,000	26.21875
	6/19/00			

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Employee Stock Option (right to buy)	6/18/99-	6/17/08	Common Stock	3,000	34.15625	D(2)
	6/18/01					
Employee Stock Option (right to buy)	6/17/00-	6/16/09	Common Stock	7,406	40.51565	D(2)
	6/17/02					
Employee Stock Option (right to buy)	12/16/00-	2/16/10	Common Stock	25,000	39.25	D(2)
	12/16/02					
Employee Stock Option (right to buy)	12/21/01-	12/20/10	Common Stock	15,600	60.73750	D(2)
	12/21/03					
Employee Stock Option (right to buy)	12/20/02-	12/19/11	Common Stock	25,800	51.975	D(2)
	12/20/04					
Employee Stock Option (right to buy)	2/21/04	2/20/12	Common Stock	13,500	49.705	D(3)

Explanation of Responses:

(1) As of last plan statement.

(2) Employee stock option granted pursuant to State Street Corporation 1997 Equity Incentive Plan exercisable in 33 1/3% installments over a three-year period.

(3) Employee stock option granted pursuant to State Street Corporation 1997 Equity Incentive Plan.

SIGNATURE OF REPORTING PERSON

/s/Stefan M. Gavell

DATE

4/10/02