

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP

Form 4

April 09, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Harbert, Timothy B.
State Street Corporation
225 Franklin Street
Boston, MA 02110

2. Issuer Name and Ticker or Trading Symbol

State Street Corporation
STT

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

2/28/02

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)

Executive Vice President

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Transaction Code	4. Securities Acquired (A) or Disposed of (D) Amount	5. Amount of Securities Beneficially Owned at End of Month
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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative	3. Transaction Date	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities Title and Number of Shares	8. Put or Call or Other Feature
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Employee Stock Option (right to buy)	49.705	2/21/02	A(1)V	10,700	A 2/21/04 2/20/12	Common Stock	10,700
Employee Stock Option	9.3125				2/17/16	Common Stock	6,184

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(right to buy)								99	04				
Employee Stock Option (right to buy)	8.1250							2/16/00	2/15/05	Common Stock	8,120		
Employee Stock Option (right to buy)	13.20315							6/20/01	6/20/06	Common Stock	8,000		
Employee Stock Option (right to buy)	15.8750							12/19/97-	12/18/06	Common Stock	80,000		
								12/19/99					
Employee Stock Option (right to buy)	33.71875							12/17/99-	12/16/08	Common Stock	27,480		
								12/17/01					
Employee Stock Option (right to buy)	34.64065							12/16/00-	12/15/09	Common Stock	24,920		
								12/16/02					
Employee Stock Option (right to buy)	60.7375							12/21/01-	12/20/10	Common Stock	54,200		
								12/21/03					
Employee Stock Option (right to buy)	51.975							12/20/02-	12/19/11	Common Stock	128,800		
								12/20/04					

Explanation of Responses:

(1) Employee stock option granted pursuant to State Street Corporation 1997 Equity Incentive Plan.

SIGNATURE OF REPORTING PERSON

/s/Timothy B. Harbert

DATE

4/9/02