

ROCKWELL MEDICAL, INC.
Form 3
March 02, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â RAVICH MARK H</p> <p>(Last) (First) (Middle)</p> <p>600 SOUTH HIGHWAY 169,Â SUITE 1660</p> <p>(Street)</p> <p>ST. LOUIS PARK,Â MNÂ 55426</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>02/20/2017</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>ROCKWELL MEDICAL, INC. [RMTI]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input checked="" type="checkbox"/> Other (give title below) (specify below) See Footnote 1</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock ⁽¹⁾	284,750	D	Â
Common Stock ⁽¹⁾	44,400	I	By: Norman J. Ravich Irrevocable Trust ⁽²⁾
Common Stock ⁽¹⁾	25,000	I	By: Alexander Coleman Ravich 1991 Irrevocable Trust ⁽³⁾
Common Stock ⁽¹⁾	25,000	I	By: Alyssa Danielle Ravich 1991 Irrevocable Trust ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Call Option (right to buy)	Â ⁽⁶⁾	05/19/2017	Common Stock ⁽¹⁾	30,000	\$ 6	D	Â
Call Option (right to buy)	Â ⁽⁶⁾	05/19/2017	Common Stock ⁽¹⁾	20,000	\$ 7	D	Â
Call Option (right to buy)	Â ⁽⁶⁾	05/19/2017	Common Stock ⁽¹⁾	20,000	\$ 8	D	Â
Call Option (right to buy)	Â ⁽⁶⁾	05/19/2017	Common Stock ⁽¹⁾	10,000	\$ 6	I	By: Norman and Sally Ravich Family Trust ⁽⁵⁾
Call Option (right to buy)	Â ⁽⁶⁾	05/19/2017	Common Stock ⁽¹⁾	8,500	\$ 7	I	By: Norman and Sally Ravich Family Trust ⁽⁵⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RAVICH MARK H 600 SOUTH HIGHWAY 169 SUITE 1660 ST. LOUIS PARK, MN 55426	Â	Â	Â	See Footnote 1
Norman J. Ravich Irrevocable Trust 600 SOUTH HIGHWAY 169 SUITE 1660 ST. LOUIS PARK, MN 55426	Â	Â	Â	See Footnote 1
Norman & Sally Ravich Family Trust 600 SOUTH HIGHWAY 169 SUITE 1660 ST. LOUIS PARK, MN 55426	Â	Â	Â	See Footnote 1
Alexander Coleman Ravich 1991 Irrevocable Trust 600 SOUTH HIGHWAY 169 SUITE 1660 ST. LOUIS PARK, MN 55426	Â	Â	Â	See Footnote 1
Alyssa Danielle Ravich 1991 Irrevocable Trust 600 SOUTH HIGHWAY 169	Â	Â	Â	See Footnote 1

SUITE 1660
ST. LOUIS PARK, MN 55426

Signatures

By: /s/ Mark H. Ravich	03/02/2017
__Signature of Reporting Person	Date
By: Norman J. Ravich Irrevocable Trust, By: /s/ Mark H. Ravich, Trustee	03/02/2017
__Signature of Reporting Person	Date
By: Norman and Sally Ravich Family Trust, By: /s/ Mark H. Ravich, Trustee	03/02/2017
__Signature of Reporting Person	Date
By: Alexander Coleman Ravich 1991 Irrevocable Trust, By: /s/ Mark H. Ravich, Trustee	03/02/2017
__Signature of Reporting Person	Date
By: Alyssa Danielle Ravich 1991 Irrevocable Trust, By: /s/ Mark H. Ravich, Trustee	03/02/2017
__Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 3 is filed jointly by Norman J. Ravich Irrevocable Trust ("NJR Trust"), Norman and Sally Ravich Family Trust ("NSR Trust"), Alexander Coleman Ravich 1991 Irrevocable Trust ("ACR Trust"), Alyssa Danielle Ravich 1991 Irrevocable Trust ("ADR Trust") and Mark H. Ravich (collectively, the "Reporting Persons"). Each of the Reporting Persons may be deemed to be a member of a Section 13(d)

- (1) group that may be deemed to collectively beneficially own more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein. The filing of this Form 3 shall not be deemed an admission that the Reporting Persons are, for purposes of Section 13(d) of the Securities Exchange Act of 1934, as amended, the beneficial owners of any securities of the Issuer he or it does not directly own.
- (2) Represents securities owned directly by NJR Trust. Mr. Ravich, as the trustee of NJR Trust, may be deemed to beneficially own the securities owned directly by NJR Trust.
- (3) Represents securities owned directly by ACR Trust. Mr. Ravich, as the trustee of ACR Trust, may be deemed to beneficially own the securities owned directly by ACR Trust.
- (4) Represents securities owned directly by ADR Trust. Mr. Ravich, as the trustee of ADR Trust, may be deemed to beneficially own the securities owned directly by ADR Trust.
- (5) Represents securities owned directly by NSR Trust. Mr. Ravich, as the trustee of NSR Trust, may be deemed to beneficially own the securities owned directly by NSR Trust.
- (6) The Call Options reported herein are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.