

RICCA MARK A
Form 4
May 02, 2003
SEC Form 4

<p>FORM 4</p> <p>[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).</p> <p>(Print or Type Responses)</p>	<p>UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549</p> <p>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP</p> <p>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940</p>	<p>OMB APPROVAL</p> <hr/> <p>OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. 0.5</p>
<p>1. Name and Address of Reporting Person*</p> <p>Ricca, Mark A.</p> <hr/> <p>(Last) (First) (Middle) 615 Merrick Avenue</p> <hr/> <p>(Street) Westbury, NY 11590</p> <hr/> <p>(City) (State) (Zip)</p>	<p>2. Issuer Name and Ticker or Trading Symbol</p> <p>New York Community Bancorp, Inc. (NYB)</p>	<p>6. Relationship of Reporting Person(s) to Issuer (Check all applicable)</p> <p>_____ Director _____ 10% Owner <input checked="" type="checkbox"/> Officer _____ Other <u>EVP, General Counsel and Corporate Secretary</u></p> <hr/> <p>7. Individual or Joint/Group Filing (Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
<p>3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)</p>	<p>4. Statement for Month/Day/Year</p> <p>April 30, 2003</p> <hr/> <p>5. If Amendment, Date of Original (Month/Day/Year)</p>	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code and Voluntary Code (Instr. 8)	4. Securities Acquired (A) or Disposed (D) Of (Instr. 3, 4, and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount A/D Price			
Common Stock	04/30/2003		M	5,250 A \$15.370		D	
Common Stock	04/30/2003		F	2,328 D \$34.665	30,631	D (1)	
Common Stock					6,059	I	By CFS Bank 401(k) (2)
Common Stock					9,418	I	By ESOP (3)
Common Stock					5,279	I	By NYCB 401(k) (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(over)
SEC 1474 (9-02)

Ricca, Mark A. - April 30, 2003

Form 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code and Voluntary Code (Instr.8)	5. Number of Derivative Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transactions (Instr.4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr.4)	11. Nature of Indirect Beneficial Ownership (Instr.4)
Incentive Stock Option (right to buy)	\$15.370	04/30/2003		M I	(D) 5,250	01/18/2003 (4) 01/18/2010	Common Stock - 5,250		1,256	D	
Incentive Stock Option (right to buy)	\$11.060					11/30/2000 (4) 02/23/2008	Common Stock - 8,066		8,066	D	
Incentive Stock Option (right to buy)	\$15.370					01/18/2004 (4) 01/18/2010	Common Stock - 6,506		6,506	D	
Non-Qualified Stock Option (right to buy)	\$11.060					11/30/2000 (4) 02/23/2008	Common Stock - 18,499		18,499	D	
Non-Qualified Stock Option (right to buy)	\$15.370					01/18/2002 (4) 01/18/2010	Common Stock - 4,744		4,744	D	
Non-Qualified Stock Option (right to buy)	\$15.370					01/18/2003 (4) 01/18/2010	Common Stock - 4,744		4,744	D	
Non-Qualified Stock Option (right to buy)	\$15.370					01/18/2004 (4) 01/18/2010	Common Stock - 4,744		4,744	D	
Non-Qualified Stock Option (right to buy)	\$22.230					12/21/2002 (5) 12/21/2011	Common Stock - 15,000		15,000	D	
Non-Qualified Stock Option (right to buy)	\$24.610					07/24/2003 (6) 07/24/2012	Common Stock - 30,000		30,000	D	
Non-Qualified Stock Option (right to buy)	\$28.540					01/21/2004 (7) 01/21/2013	Common Stock - 60,000		60,000	D	

Explanation of Responses :

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

/s/ Mark A. Ricca -
05-02-2003

** Signature of Reporting Person

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Page 2

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Ricca, Mark A. - April 30, 2003

Form 4 (continued)

FOOTNOTE Descriptions for New York Community Bancorp, Inc. (NYB)

Form 4 - April 2003

Mark A. Ricca
615 Merrick Avenue
Westbury, NY 11590

Explanation of responses:

- (1) Since the reporting person's last report 780 shares previously held by Stock Incentive Plan have vested and are now owned directly.
- (2) Represents shares purchased through the CFS Bank 401(k) Plan, which New York Community Bank was successor to under the Haven Bancorp, Inc. acquisition.
- (3) This form reflects increases in beneficial ownership resulting from exempt acquisitions under an Employee Stock Ownership Plan ("ESOP") and 401(k) Plan pursuant to Rule 16b-3(c).
- (4) Options to purchase shares granted under the Haven Bancorp, Inc. 1996 Stock Option Plan.
- (5) Options granted under the New York Community Bancorp, Inc. 1997 Stock Option Plan that vest in three equal annual installments beginning on December 21, 2002.
- (6) Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in three equal annual installments commencing on July 24, 2003.
- (7) Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in three equal annual installments commencing on January 21, 2004.

Page 3