

FARAHI JOHN  
Form 4  
November 08, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FARAHI JOHN

2. Issuer Name and Ticker or Trading Symbol  
MONARCH CASINO & RESORT INC [MCRI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

MONARCH CASINO & RESORT INC, 1175 MOANA LANE SUITE 200

3. Date of Earliest Transaction (Month/Day/Year)  
04/13/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
CEO

RENO, NV 89509

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	Price	
Common Stock	04/13/2018		G		287,000	\$ 41.18	D
Common Stock	04/13/2018		G		70,500	\$ 41.18	I by trust
Common Stock	04/13/2018		G		70,500	\$ 41.18	I by trust
Common Stock	04/13/2018		G		70,500	\$ 41.18	I by trust
Common Stock	04/13/2018		G		70,500	\$ 41.18	I by trust

Edgar Filing: FARAHI JOHN - Form 4

Common Stock \$ 41.18  
 Common Stock 1,215 I by trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Options/Right to Buy	\$ 39.82	11/01/2018		A	66,666	11/01/2021 11/01/2028	Common Stock 66,666
Options/Right to Buy	\$ 45.32					11/01/2020 11/01/2027	Common Stock 66,666
Options/Right to Buy	\$ 23.08					11/01/2019 11/01/2026	Common Stock 66,666
Options/Right to Buy	\$ 17.62					10/21/2018 10/21/2025	Common Stock 66,666
Options/Right to Buy	\$ 12.32					10/21/2017 10/21/2024	Common Stock 66,666
Options/Right to Buy	\$ 21.71					10/21/2016 10/21/2023	Common Stock 66,666
Options/Right to Buy	\$ 8.56					10/09/2015 10/09/2022	Common Stock 66,666
Options/Right to Buy	\$ 9.33					10/21/2014 10/21/2021	Common Stock 66,666

## Reporting Owners

Reporting Owner Name / Address

Relationships

Edgar Filing: FARAHI JOHN - Form 4

Director 10% Owner Officer Other

FARAHI JOHN  
MONARCH CASINO & RESORT INC  
1175 MOANA LANE SUITE 200  
RENO, NV 89509

X X CEO

## Signatures

John Farahi 11/08/2018

\_\_Signature of Date  
Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.