Edgar Filing: STANDARD REGISTER CO - Form 3

STANDARD REGISTER CO

Form 3

March 06, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

3235-0104

Number:

January 31,

0.5

Expires:

2005 Estimated average

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

> burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Vaughn James M

(Last)

600 ALBANY STREET

(First) (Middle)

(Month/Day/Year)

03/06/2015

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

STANDARD REGISTER CO [SRCT]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

(Check all applicable)

Director 10% Owner _X__ Officer Other (give title below) (specify below)

Treasurer

6. Individual or Joint/Group

5. If Amendment, Date Original

Filing(Check Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

DAYTON, OHÂ 45417

(City) (State) (Zip)

(Street)

1. Title of Security

(Instr. 4)

Common Shares

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

> (I) (Instr. 5)

SEC 1473 (7-02)

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

Direct (D) or Indirect

791 D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Price of Derivative Security: Direct (D) Security

Date Exercisable Expiration

Date

Title

Amount or Number of

or Indirect

1

Edgar Filing: STANDARD REGISTER CO - Form 3

					Shares		(I) (Instr. 5)	
Op	otion to Buy (1)	02/22/2007(3)	02/22/2016	Common Stock	450	\$ 85	D	Â
Op	otion to Buy (1)	02/21/2008(4)	02/21/2017	Common Stock	560	\$ 65.35	D	Â
Op	otion to Buy (1)	03/08/2011(5)	03/08/2020	Common Stock	1,700	\$ 31.65	D	Â
Op	otion to Buy (2)	04/28/2012(6)	03/03/2021	Common Stock	2,500	\$ 16.9	D	Â
Op	otion to Buy (2)	02/28/2013(7)	02/28/2022	Common Stock	800	\$ 7.85	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Othe		
Vaughn James M 600 ALBANY STREET DAYTON, OH 45417	Â	Â	Â Treasurer	Â		

Signatures

/s/Gerard D. Sowar, by power of attorney for James M. Vaughn

03/06/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to The Standard Register Company Amended and Restated 2002 Equity Incentive Plan
- (2) Granted pursuant to The Standard Register Company 2011 Equity Incentive Plan, as Amended
- (3) The options are exercisable in equal increments on 2/22/07, 2/22/08, 2/22/09, 2/22/10
- (4) The options are exercisable in equal increments on 2/21/08, 2/21/09, 2/21/10, 2/22/11
- (5) The options are exercisable in equal increments on 3/8/11, 3/8/12, 3/8/13, 3/8/14
- (6) The options are exercisable in equal increments on 4/28/12, 4/28/13, 4/28/14, 4/28/15
- (7) The options are exercisable in equal increments on 2/28/13, 2/28/14, 2/28/15, 2/28/16

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2