

Edgar Filing: NEON SYSTEMS INC - Form 4

NEON SYSTEMS INC  
Form 4  
February 08, 2002

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| FORM 4 |  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

Check this box if  
no longer subject  
to Section 16.  
Form 4 or Form 5  
obligations may  
continue. See  
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
  
Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

-----  
1. Name and Address of Reporting Person\*

Schaeffer Peter  
-----  
(Last) (First) (Middle)

2918 Autumn Run Circle  
-----

(Street)

Sugar Land TX 77479  
-----  
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol NEON Systems, Inc. (NESY)  
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3. I.R.S. Identification Number of Reporting Person, if an entity  
(voluntary) -----

4. Statement for Month/Year January 2002  
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5. If Amendment, Date of Original (Month/Year)  
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6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director    \_\_\_ Officer    \_\_\_ 10% Owner    \_\_\_ Other  
(give title below) (specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

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Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |       | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 a |
|---------------------------------|--------------------------------------|--------------------------------|---|---|------------|-------|--|
|                                 |                                      | Code                           | V | Amount  | (A) or (D) | Price |  |
| Common Stock                    | 1/18/02                              | G                              | V | 15,000  | D          |       | 379,335  |
|                                 |                                      |                                |   |   |            |       |  |
|                                 |                                      |                                |   |   |            |       |  |
|                                 |                                      |                                |   |   |            |       |  |
|                                 |                                      |                                |   |   |            |       |  |
|                                 |                                      |                                |   |   |            |       |  |
|                                 |                                      |                                |   |   |            |       |  |
|                                 |                                      |                                |   |   |            |       |  |
|                                 |                                      |                                |   |   |            |       |  |
|                                 |                                      |                                |   |   |            |       |  |

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   |
|--|--|--------------------------------------|--------------------------------|---|
|  |  |                                      | Code                           | V |
|  |  |                                      |                                |   |
|  |  |                                      |                                |   |
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