Edgar Filing: SUSSEX BANCORP - Form SC 13G/A
SUSSEX BANCORP Form SC 13G/A February 09, 2018
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549
SCHEDULE 13G/A
Under the Securities Exchange Act of 1934 (Amendment No. 1)*
Sussex Bancorp (Name of Issuer)
Common Stock, no par value (Title of Class of Securities)
869245100 (CUSIP Number)
December 31, 2017 (Date of Event which Requires Filing of this Statement)
Check the appropriate box to designate the rule pursuant to which this Schedule is filed:
[X] Rule 13d-1(b) [ ] Rule 13d-1(c) [ ] Rule 13d-1(d)
*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to

the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

NAME OF REPORTING **PERSON** I.R.S. IDENTIFICATION 1 NO. OF ABOVE PERSON **Basswood Capital** Management, L.L.C. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* 2 (a) (b) SEC USE ONLY 3 CITIZENSHIP OR PLACE OF ORGANIZATION 4 Delaware **SOLE VOTING POWER** 5 0 **NUMBER SHARED VOTING** OF **POWER** 6 **SHARES** BENEFICIALLY 594,167 **OWNED** BY**EACH SOLE DISPOSITIVE** REPORTING **POWER PERSON** 

0

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CUSIP No.

869245100

WITH

SHARED DISPOSITIVE 8 POWER

594,167

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

594,167

9

10

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

PERCENT OF CLASS REPRESENTED BY 11 AMOUNT IN ROW (9)

9.8%

TYPE OF REPORTING PERSON\*

IA

-2-

CUSIP No. 13GPage 3 of 7 Pages 869245100 NAME OF REPORTING **PERSON** I.R.S. IDENTIFICATION 1 NO. OF ABOVE PERSON Matthew Lindenbaum CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* 2 (a) (b) SEC USE ONLY 3 CITIZENSHIP OR PLACE OF ORGANIZATION 4 **United States NUMBER** OF **SOLE VOTING SHARES POWER** BENEFICIALLY **OWNED** 0 BY**EACH** REPORTING **SHARED VOTING** PERSON **POWER** WITH 594,167 **SOLE DISPOSITIVE POWER** 

8

SHARED DISPOSITIVE POWER

594,167

AGGREGATE AMOUNT
BENEFICIALLY OWNED
BY EACH REPORTING
PERSON

594,167

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

9.8%

TYPE OF REPORTING PERSON\*

12 IN/HC

-3-

CUSIP No. 13GPage 4 of 7 Pages 869245100 NAME OF REPORTING **PERSON** I.R.S. IDENTIFICATION 1 NO. OF ABOVE PERSON Bennett Lindenbaum CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* 2 (a) (b) SEC USE ONLY 3 CITIZENSHIP OR PLACE OF ORGANIZATION 4 **United States NUMBER** OF **SOLE VOTING SHARES POWER** BENEFICIALLY **OWNED** 0 BY**EACH REPORTING SHARED VOTING PERSON POWER** WITH 594,167 **SOLE DISPOSITIVE POWER** 7 0 8 **SHARED** 

**DISPOSITIVE** 

**POWER** 

594,167

AGGREGATE AMOUNT
BENEFICIALLY OWNED
BY EACH REPORTING
PERSON

594,167

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

PERCENT OF CLASS REPRESENTED BY 11 AMOUNT IN ROW (9)

9.8%

TYPE OF REPORTING PERSON\*

12 FERSON

IN/HC

-4-

### Item

# 1(a) Name of Issuer:

Sussex Bancorp

### Item

Address of Issuer's Principal Executive Offices:

100 Enterprise Drive, Suite 700 Rockaway, NJ 07866

#### Item

# Name of Person Filing:

The information required by Item 2(a) is set forth in Row 1 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

#### Item

# 2(b) Address of Principal Business Office or, if none, Residence:

c/o Basswood Capital Management, L.L.C. 645 Madison Avenue, 10<sup>th</sup> Floor New York, NY 10022

#### Item

# $\frac{\text{Citizenship}}{2(c)}$ :

The information required by Item 2(c) is set forth in Row 4 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

#### Item

# 2(d) Title of Class of Securities:

Common Stock, no par value

### Item

# 2(e) <u>CUSIP Number</u>:

869245100

# Item 3 Statement filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c):

The information required by Item 3 is set forth in Row 12 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

# Item 4 Ownership:

The information required by Items 4(a)-(c) is set forth in Rows 5-11 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

# Item 5 Ownership of Five Percent or Less of a Class:

Not Applicable

# Item 6 Ownership of More than Five Percent on Behalf of Another Person:

Not Applicable

# Item 7 Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person:

See Exhibit 99.2

### Item 8 Identification and Classification of Members of the Group:

Not Applicable

# Item 9 Notice of Dissolution of Group:

Not Applicable

Item

**Certification:** 10

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

[Remainder of page intentionally left blank]

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# **SIGNATURE**

After reasonable inquiry and to the best of the knowledge and belief of the undersigned, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

Dated: February 9, 2018

# BASSWOOD CAPITAL MANAGEMENT, L.L.C.

By: /s/ Matthew Lindenbaum
Name: Matthew Lindenbaum
Title: Managing Member

/s/ Matthew Lindenbaum
Matthew Lindenbaum, an individual

/s/ Bennett Lindenbaum
Bennett Lindenbaum, an individual

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