STEIN MART INC

Form 4

January 17, 2007

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

STEIN MART INC (SMRT)

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

January 31,

Expires:

5. Relationship of Reporting Person(s) to

Issuer

2005

0.5

response...

Estimated average burden hours per

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

\$0.01 Par

(Print or Type Responses)

STEIN MARTIN E JR

1. Name and Address of Reporting Person \*

				STEIN MART INC [SMRT]					(Check all applicable)				
(Last) (First) (Middle) 121 W FORSYTH ST, STE 200				3. Date of Earliest Transaction (Month/Day/Year) 01/09/2007					_X_ Director 10% Owner Officer (give title Other (specify below) below)				
(Street)  JACKSONVILLE, FL 32202				4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficiall								ally Owned					
	1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Execution	emed on Date, if /Day/Year)	3. Transaction Code (Instr. 8)	Disposed (Instr. 3,	(A) o of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Common Stock, \$0.01 Par Value	01/09/2007			A <u>(1)</u>	1,913	A	\$ 0	16,687	D			
	Common Stock, \$0.01 Par Value								3,600	I	Martin E. Stein as Custodian for Kelly B. Stein		
	Common Stock,								3,600	I	Martin E. Stein as		

Custodian

Value for

Kimberly P.

Martin E.

Stein as

Stein

Common

Stock, \$0.01 Par

Value

600 Ι

Custodian for Ashley W. Stein

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

9. Nu

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transa Code (Instr.	8)	5. nNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)
			Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Director Other

STEIN MARTIN E JR 121 W FORSYTH ST STE 200

X

JACKSONVILLE, FL 32202

**Signatures** 

/s/ Martin E. 01/16/2007 Stein, Jr.

\*\*Signature of Date Reporting Person

Reporting Owners 2 Edgar Filing: STEIN MART INC - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares acquired pursuant to Stein Mart, Inc. 2001 Omnibus Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.