### Edgar Filing: Leopold Simon - Form 4

Form 4										
April 03, 2019									PPROVAL	
FORM	4 UNITED	STATES					COMMISSIO	N OMB	3235-0287	
Washington, D.C. 20549         Washington, D.C. 20549         Check this box         if no longer       subject to         subject to       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES         Form 4 or       Form 5         Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934         obligations       Section 17(a) of the Public Utility Holding Company Act of 1025 or Section							Number: Expires:	January 31,		
						Estimated burden hou response	urs per			
may contir <i>See</i> Instruc 1(b).	nue. Section 17(			•	U	npany Act ny Act of 1	of 1935 or Secti 940	on		
(Print or Type Re	esponses)									
1. Name and Address of Reporting Person <u>*</u> Leopold Simon			2. Issuer Name <b>and</b> Ticker or Trading Symbol TAUBMAN CENTERS INC [TCO]				<ul><li>5. Relationship of Reporting Person(s) to Issuer</li></ul>			
(Last)	(Last) (First) (Middle)			of Earliest T	ransaction	-	(Check all applicable)			
TAUBMAN CENTERS, INC., 200 E. LONG LAKE ROAD, SUITE 300			(Month/Day/Year) 04/01/2019			Director 10% Owner X_ Officer (give title Other (specify below) below) EVP, CFO and Treasurer				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
	LD HILLS, MI						Person		· · · · · · · · · · · · · · · · · · ·	
(City)	(State)	(Zip)		ole I - Non-J			cquired, Disposed		lly Owned	
	2. Transaction Date Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit mAcquired Disposed (Instr. 3, -	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report	rt on a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly of	or indirectly.			
					inforr requi	nation cont red to respo ays a curre	spond to the colle ained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					sposed of, or convertible s	Beneficially Owner securities)	d		

1. Title of Derivative	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Ar
Security	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orDerivative	Expiration Date	Underlying Se
(Instr. 3)	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired Disposed (Instr. 3, 5)	d of (D)			
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Restricted TRG Profits Units $(1)$ $(2)$ (3)	\$ O	04/01/2019		С		6,067	(1)(2)(3)	<u>(1)</u>	Common Stock
Relative TSR Performance-based TRG Profits Units (1) (2) (3)	\$ O	04/01/2019		С		2,896	(1)(2)(3)	<u>(1)</u>	Common Stock
NOI Performance-based TRG Profits Units (1) (2) (3)	\$ 0	04/01/2019		С		3,999	(1)(2)(3)	<u>(1)</u>	Common Stock
Units of Limited Partnership	\$ 0	04/01/2019		C <u>(1)</u>	6,067		(4)	(5)	Common Stock
Units of Limited Partnership	\$ 0	04/01/2019		C <u>(1)</u>	2,896		(4)	(5)	Common Stock
Units of Limited Partnership	\$ 0	04/01/2019		C <u>(1)</u>	3,999		(4)	(5)	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Leopold Simon TAUBMAN CENTERS, INC. 200 E. LONG LAKE ROAD, SUITE 300 BLOOMFIELD HILLS, MI 48304			EVP, CFO and Treasurer		
Signatures					
/s/ Michael S. Ben,					

/s/ whichaci S. Dell,	
Attorney-in-Fact	04/03/2019
**Signature of Reporting Person	Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Restricted TRG Profits Units, Relative TSR Performance-based TRG Profits Units, and NOI Performance-based TRG Profits Units
 (1) (collectively, "Profits Units") converted to units of limited partnership interest in The Taubman Realty Group Limited Partnership (TRG) (Units of Limited Partnership) on April 1, 2019 upon satisfaction of the tax-driven requirements.

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(2) Under the Company's Continuing Offer to employees covered by the Omnibus Long-Term Incentive Plans and certain other partners in TRG, each Unit of Limited Partnership held by an offeree is exchangeable for one share of the Company's common stock.

Upon conversion of the Profits Units to Units of Limited Partnership, the holder has the right to purchase one share of the voting Series B(3) Non-Participating Convertible Preferred Stock of Taubman Centers, Inc. (TCO) (Series B Preferred Stock) for each Unit of Limited Partnership held. The reporting person purchased the same amount of Series B Preferred Stock upon conversion.

- (4) To avoid duplication, excludes the same number of Series B Preferred Stock held by such persons, which under specified circumstances can be converted into common stock of TCO at a ratio of one share of common stock for each 14,000 shares of Series B Preferred Stock.
- (5) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.