

RAYMOND JAMES FINANCIAL INC

Form 5

October 02, 2006

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.Form 4 or Form
5 obligations
may continue.See Instruction
1(b).Form 3 Holdings
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362Expires: January 31,
2005Estimated average
burden hours per
response... 1.01. Name and Address of Reporting Person *
TREMAINE THOMAS R

(Last) (First) (Middle)

880 CARILLON PARKWAY

(Street)

ST. PETERSBURG, FL 33716

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
**RAYMOND JAMES FINANCIAL
INC [RJF]**3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
09/30/20064. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Executive Vice President - RJA

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â Â Â Â (A) or (D) Price	35,670	D	Â
Common Stock	Â	Â	Â	Â Â Â Â	6,700 ⁽⁵⁾	I	ESOP

Reminder: Report on a separate line for each class of
securities beneficially owned directly or indirectly.**Persons who respond to the collection of information
contained in this form are not required to respond unless
the form displays a currently valid OMB control number.**SEC 2270
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 14.222	Â	Â	Â	Â Â	11/28/2004	01/28/2007	Common Stock	13,500 ⁽¹⁾
Employee Stock Option (right to buy)	\$ 14.0222	Â	Â	Â	Â Â	12/10/2005	02/10/2008	Common Stock	11,250 ⁽²⁾
Employee Stock Option (right to buy)	\$ 16.8	Â	Â	Â	Â Â	12/04/2006	02/04/2009	Common Stock	5,382
Employee Stock Option (right to buy)	\$ 16.8	Â	Â	Â	Â Â	12/04/2006	02/04/2009	Common Stock	12,618 ⁽³⁾
Employee Stock Option (right to buy)	\$ 24.9733	Â	Â	Â	Â Â	12/01/2008	02/01/2011	Common Stock	15,000 ⁽⁴⁾

Reporting Owners

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

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Â

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TREMAINE THOMAS R
880 CARILLON PARKWAY
ST. PETERSBURG, FL 33716

Executive
Vice President
- RJA

Signatures

Thomas R.
Tremaine

09/30/2006

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options Currently exercisable - 10,800 Options Becoming exercisable 2,700 on 11/28/2006
- (2) Options Currenyly exercisable - 2,250 Options Becoming exercisable 3,937 on 12/10/2006, and 5,063 on 12/10/2007
- (3) Options Becoming exercisable - 378 on 12/04/2006, 1,620 on 12/04/2007, 5,400 on 01/04/2008 and 5,220 on 01/04/2009
- (4) Option Becoming exercisable - 3,750 on 12/01/2008, 3,750 on 12/01/2009, 3,750 on 12/01/2010, and 3,750 on 02/01/2011
- (5) Includes number of shares acquired under ESOP through 09/30/06

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.