

Edgar Filing: HARRIS ALAN F - Form 5

HARRIS ALAN F
Form 5
February 13, 2003

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden
hours per response.....1.0

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

1. Name and Address of Reporting Person*

Harris	Alan	F
(Last)	(First)	(Middle)
One Kellogg Square		
PO Box 3599		

(Street)		
Battle Creek	MI	49016-3599

(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

Kellogg Company (K)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year

December 31, 2002

5. If Amendment, Date of Original (Month/Year)

Edgar Filing: HARRIS ALAN F - Form 5

6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Executive Vice President

7. Individual or Joint/Group Filing
(check applicable line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (mm/dd/yy)	2A. Deemed Execution Date, if any (mm/dd/yy)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
				Amount	(A) or (D)	Price
Common Stock	12/31/02		J (1)	1.315	A	
Common Stock	12/31/02		J (3)	18.5261	A	

Common Stock

* If the form is filed by more than one reporting person, see instruction
4(b) (v) .

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

Edgar Filing: HARRIS ALAN F - Form 5

(e.g., puts, calls, warrants, options, convertible securities)

=====

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (mm/dd/ yy)	3A. Deemed Execut- ion Date if any (mm/dd/ yy)	4. Trans- action Code (Instr. 8) -----	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
Stock Option	\$38.4375					12/4/96 1/20/05	Common Stock 6,982
Stock Option	\$38.75					3/15/97 3/15/06	Common Stock 33,000
Stock Option	\$35.0938					5/2/97 1/20/05	Common Stock 1,104
Stock Option	\$35.0938					5/2/97 1/21/04	Common Stock 8,696
Stock Option	\$44.0937					11/11/97 1/21/04	Common Stock 980
Stock Option	\$43.9375					3/13/99 3/13/08	Common Stock 60,000
Stock Option	\$43.9375					3/13/99 3/13/08	Common Stock 4,570
Stock Option	\$40.9063					5/11/98 1/29/03	Common Stock 1,123
Stock Option	\$40.9063					5/11/98 1/20/05	Common Stock 5,609
Stock Option	\$40.9063					5/11/98 3/14/07	Common Stock 9,953
Stock Option	\$34.625					1/4/00 1/4/09	Common Stock 46,000
Stock Option	\$40.4063					2/2/99 3/14/07	Common Stock 20,284
Stock Option	\$34.8125					11/15/99 3/14/07	Common Stock 2,378

Edgar Filing: HARRIS ALAN F - Form 5

Stock Option	\$34.8125	7/31/01	1/20/05	Common Stock	8,467
-----	-----	-----	-----	-----	-----
Stock Option(4)	\$27.425	2/16/02	2/16/11	Common Stock	108,051
-----	-----	-----	-----	-----	-----
Stock Option	\$30	8/6/01	1/31/10	Common Stock	26,514
-----	-----	-----	-----	-----	-----
Stock Option	\$30	8/6/01	1/31/10	Common Stock	120
-----	-----	-----	-----	-----	-----
Stock Option	\$33.3450	2/19/02	1/31/10	Common Stock	31,868
-----	-----	-----	-----	-----	-----
Stock Option(5)	\$34.635	2/22/03	2/22/12	Common Stock	124,900
-----	-----	-----	-----	-----	-----
Stock Option	\$34.07	8/19/02	1/31/10	Common Stock	14,659
-----	-----	-----	-----	-----	-----
Stock Option	\$34.07	8/19/02	2/16/11	Common Stock	4,504
-----	-----	-----	-----	-----	-----
Stock Option	\$34.07	8/19/02	1/31/10	Common Stock	21,120
-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----
=====	=====	=====	=====	=====	=====

Explanation of Responses:

- (1) Shares acquired under the Company's Dividend Reinvestment Plan in 2002.
- (2) Includes shares acquired under the Company's 2002 Employee Stock Purchase Plan in 2002.
- (3) Shares accrued to the filer's Company Stock Fund account under the Kellogg Company Savings and Investment Plan during 2002.
- (4) The option became exercisable in two equal annual installments beginning February 16, 2002.
- (5) The option becomes exercisable in two equal annual installments beginning February 22, 2003.

/s/ James K. Markey

February 11, 2003

-----	-----
**Signature of Reporting Person	Date
James K. Markey, Attorney-in-fact	
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.	

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.