FINUCANE ANNE M Form 5 January 29, 2003

### FORM 5

longer subject to Section 16.

obligations may continue.

\_ Check this box if no

Form 4 or Form 5

See Instruction 1(b).

Form 3 Holdings

Form 4 Transactions

Reported

Reported

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **OMB APPROVAL**

OMB Number: 3235-0362 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

Filed By Romeo and Dye's Section 16 Filer www.section16.net

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person\* 2. Issuer Name and Ticker or Trading Symbol Relationship of Reporting FleetBoston Financial Corporation (FBF) Person(s) to Issuer (Check all applicable) Finucane Anne M. Director (Last) (First) (Middle) 3. I.R.S. Identification Number Statement for 10% Owner of Reporting Person, Month/Year X Officer (give title below) if an entity (voluntary) 12/2002 FleetBoston Financial Corporation Other (specify below) 100 Federal Streer Executive Vice President 5. If Amendment, 7. Individual or Joint/Group Filing (Street) Date of Original (Check Applicable Line) (Month/Year) X Form filed by One Reporting Boston, MA 02110 Person Form filed by More than One Reporting Person Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (City) (Zip) Table 1 (State) 1. Title of 2. Trans-2A. Deemed 3. Trans-4. Securities Acquired 5. Amount of 6. Owner-7. Nature of action Code (A) or Disposed of (D) ship Form: Indirect Security action Execution Securities Instr. 3, 4 & 5) Date, Beneficially Direct (D) Beneficial (Instr. 3) Date Instr. 8) or Indirect (I) Ownership (Month/ Day/ if any Owned at End of Amount (A) Price Year) Month/Day/ Instr. 4) (Instr. 4) Issuer's or Year) Fiscal year (D) (Instr. 3 & 4) 12/19/02 G 34,367 Common Stock 2,000 D D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

#### FORM 5 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g., puts, calls, warrants, options, convertible securities)

(*** <b>g</b> **) <b>F</b> ******, ******************************												
1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number	10.	11. Nature	
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	of	Owner-	of Indirect	
Security	Exercise	action	Execution	action	of	Date	Underlying	Security	Derivative	ship	Beneficial	
	Price of	Date	Date,	Code	Derivati	(NeIonth/Day/	Securities	(Instr. 5)	Securities	Form	Ownership	
(Instr. 3)	Derivative		if any		Securition	¥ear)	(Instr. 3 & 4)		Beneficially	of Deriv-	(Instr. 4)	
	Security	(Month/	(Month/	(Instr.	Acquire	đ			Owned	ative		
		Day/	Day/	8)	(A) or				at End of	Security:		

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

# Edgar Filing: FINUCANE ANNE M - Form 5

	Year)	Year)	Disposed of (D)						Direct (D) or	
			(Instr. 3, 4 & 5)					Indirect (I) (Instr. 4)		
			(A)		Exer-cisable		Amount or Number of Shares			

Explanation of Responses:

By: /s/ Anne M. Finucane
Anne M. Finucane

01/24/03 Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).