

KONDO CHRIS
Form 4
October 17, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
KONDO CHRIS

(Last) (First) (Middle)

1 INFINITE LOOP

(Street)

CUPERTINO, CA 95014

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
APPLE INC [AAPL]

3. Date of Earliest Transaction
(Month/Day/Year)
10/15/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Principal Accounting Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	10/15/2017		M		6,149	A	<u>(1)</u> <u>(2)</u>	11,621	D
Common Stock ⁽³⁾	10/15/2017		F		3,122	D	\$ 156.99	8,499	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Edgar Filing: KONDO CHRIS - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Restricted Stock Unit	<u>(1)</u>	10/15/2017		A	12,740	<u>(4)</u> <u>(4)</u>	Common Stock 12,740
Restricted Stock Unit	<u>(1)</u> <u>(2)</u>	10/15/2017		M	940	<u>(5)</u> <u>(5)</u>	Common Stock 940
Restricted Stock Unit	<u>(1)</u> <u>(2)</u>	10/15/2017		M	993	<u>(6)</u> <u>(6)</u>	Common Stock 993
Restricted Stock Unit	<u>(1)</u> <u>(2)</u>	10/15/2017		M	2,144	<u>(7)</u> <u>(7)</u>	Common Stock 2,144
Restricted Stock Unit	<u>(1)</u> <u>(2)</u>	10/15/2017		M	2,072	<u>(8)</u> <u>(8)</u>	Common Stock 2,072

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
KONDO CHRIS 1 INFINITE LOOP CUPERTINO, CA 95014	Principal Accounting Officer

Signatures

/s/ Sam Whittington, Attorney-in-Fact for Chris Kondo 10/17/2017

____Signature of Reporting Person

____Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (2) This transaction represents the settlement of restricted stock units in shares of common stock on their scheduled vesting date.
- (3) Shares withheld by Registrant to satisfy tax withholding requirements on vesting of restricted stock units. No shares were sold.

This award was granted on October 15, 2017. 12.5% of the award will vest on April 15, 2018 and the remaining restricted stock units vest

- (4) 12.5% in semi-annual installments over a four-year period ending October 15, 2021, assuming continued employment through the applicable vesting date.

Edgar Filing: KONDO CHRIS - Form 4

- (5) This award was granted on October 7, 2013. 12.5% of the award vested on April 15, 2014 and the remaining restricted stock units vested 12.5% in semi-annual installments over the four-year period ending October 15, 2017.

- This award was granted on October 10, 2014. 12.5% of the award vested on April 15, 2015 and the remaining restricted stock units vest 12.5% in semi-annual installments over the four-year period ending October 15, 2018, assuming continued employment through the applicable vesting date.

- (7) This award was granted on October 5, 2015. 12.5% of the award vested on April 15, 2016 and the remaining restricted stock units vest 12.5% in semi-annual installments over the four-year period ending October 15, 2019, assuming continued employment through the applicable vesting date.

- (8) This award was granted on October 14, 2016. 12.5% of the award vested on April 15, 2017 and the remaining restricted stock units vest 12.5% in semi-annual installments over the four-year period ending October 15, 2020, assuming continued employment through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.