

CATERPILLAR INC

Form 8-K

April 13, 2018

UNITED STATES

SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

FORM 8-K

Current Report

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event
reported): April 11, 2018

CATERPILLAR INC.

(Exact name of registrant as specified
in its charter)

Delaware

(State or other jurisdiction of
incorporation)

1-768

(Country Code)

File (IRS Employer Identification No.)
Number)

510

Lake

Cook

Road,

Suite

100

60015

Deerfield,

Illinois

(Address

of

principal

executive

offices)

Registrant's telephone number,
including area code: (224) 551-4000

Former name or former address, if
changed since last report: N/A

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant
☐ to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to
☐ Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement
communications pursuant to Rule
☐ 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement
communications pursuant to Rule
☐ 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined by Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 8.01. Other Events

On April 11, 2018, the Board of Directors of Caterpillar Inc. (the “Company”) appointed Ramin Younessi, current vice president of the Company’s Industrial Power Systems Division, as group president of Energy & Transportation. In connection with this appointment, Thomas A. Pellette, current Energy & Transportation group president, will become group president of Construction Industries and replace Bob De Lange who will become group president of Customer & Dealer Support (“C&DS”). These changes follow the previously announced retirement of C&DS group president Robert B. Charter and will be effective June 1, 2018.

A copy of the press release announcing these executive office changes is attached hereto as Exhibit 99.1.

Item 9.01. Financial Statements and Exhibits

(d) Exhibits:

The following is included as an exhibit to this report:

99.1 Caterpillar Inc. press release dated April 12, 2018.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CATERPILLAR INC.

April 12, 2018 By: /s/ Suzette M. Long
 Suzette M. Long
 General Counsel & Corporate
 Secretary