Mulligan Perry Form 4 November 15, 2017

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Last)

(Instr. 3)

Common

Stock (1)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Mulligan Perry

(Middle)

MICROVISION, INC. [MVIS]

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction (Month/Day/Year)

11/13/2017

6244 185TH AVENUE NE, SUITE 100

(First)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

**CEO** 

5. Relationship of Reporting Person(s) to

(Check all applicable)

below)

Applicable Line)

Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

REDMOND, WA 98052

(City) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year)

(State)

11/13/2017

Execution Date, if (Month/Day/Year) 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

(A)

5. Amount of Securities Beneficially Owned Following Reported

7. Nature of Ownership Indirect Form: Direct Beneficial Ownership (D) or Indirect (I) (Instr. 4)

(9-02)

10% Owner

Other (specify

(Instr. 4) Transaction(s)

or (Instr. 3 and 4) Amount (D) Price

A

Code V

125,000 216,682

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Mulligan Perry - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ai Ni Sh
Option (right to buy)	\$ 1.57	11/13/2017		A	125,000	11/13/2018(2)	11/13/2027	MicroVision Common Stock	1:

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Mulligan Perry
6244 185TH AVENUE NE, SUITE 100
REDMOND, WA 98052

## **Signatures**

/s/Perry
Mulligan

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent restricted stock units. The reporting person vests ownership in the restricted stock units as follows 25% upon each anniversary of the date of grant, subject to the terms of the 2013 Stock Option Plan, as amended.
- The reporting person vests ownership in this stock option as follows: 25% upon each annual anniversary of the date of grant, subject to the terms of the 2013 Stock Option Plan, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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