

Platform Specialty Products Corp

Form 3

September 13, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

A Connolly John P.

(Last) (First) (Middle)

1450 CENTREPARK BLVD,
SUITE 210

(Street)

WEST PALM
BEACH, FL 33401

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

09/13/2016

3. Issuer Name and Ticker or Trading Symbol

Platform Specialty Products Corp [PAH]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

VP, Corp. Controller and CAO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date Expiration
Exercisable Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of
Shares4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect
(I)6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

(Instr. 5)

Performance Stock Units	Â (1)	Â (1)	Common Stock	19,988	\$ (1)	D	Â
Restricted Stock Units	Â (2)	Â (2)	Common Stock	9,845	\$ (2)	D	Â
Restricted Stock Units II	Â (3)	Â (3)	Common Stock	23,866	\$ (3)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Connolly John P. 1450 CENTREPARK BLVD, SUITE 210 WEST PALM BEACH, FL 33401	Â	Â	Â VP, Corp. Controller and CAO	Â

Signatures

/s/ John P.

09/13/2016

Connolly

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Effective September 13, 2016, the reporting person received a target number of 19,988 performance stock units ("PSU"). Each PSU represents a contingent right to receive one share of the Issuer's common stock and will vest upon the achievements of certain

- (1) performance levels based on the Issuer's (i) return on invested capital, as measured from January 1, 2016 to December 31, 2018, and (ii) annual compound total shareholder return, as measured from August 22, 2016 to March 15, 2019. The number of shares reported in column 3 may range from zero to a maximum number of 49,970 shares.

- (2) Effective September 13, 2016, the reporting person received 9,845 restricted stock units ("RSU"). Each RSU represents a contingent right to receive one share of the Issuer's common stock and will vest on August 22, 2019, subject to continuous service.

- Effective September 13, 2016, the reporting person received 23,866 additional RSUs, of which 17,900 will vest on March 31, 2017 and (3) 5,966 will vest on March 31, 2018, in each case subject to continuous service. Each RSU represents a contingent right to receive one share of the Issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.