

MORRISON SCOTT C
Form 4
September 18, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MORRISON SCOTT C

(Last) (First) (Middle)

BALL CORPORATION, 10 LONGS
PEAK DR.

(Street)

BROOMFIELD, CO 80021-2510

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BALL Corp [BLL]

3. Date of Earliest Transaction
(Month/Day/Year)

09/14/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)

SR. V.P. and C.F.O.

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|--------------|---|----------------------------|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 09/14/2018 | | M ⁽¹⁾ | | 5,266 | A | \$ 10.02 | 418,185.6415 | D | |
| Common Stock | 09/14/2018 | | F ⁽²⁾ | | 2,986 | D | \$ 44.14 | 415,199.6415 | D | |
| Common Stock | 09/15/2018 | | M ⁽³⁾ | | 6,000 | A | \$ 44.14 | 421,199.6415 | D | |
| Common Stock | 09/15/2018 | | F ⁽⁴⁾ | | 2,639 | D | \$ 44.14 | 418,560.6415 | D | |
| Common Stock | | | | | | | | 8,290.9282 | I | 401(k) Plan ⁽⁵⁾ |
| | | | | | | | | 100 | I | By Son |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Common stock acquired upon the exercise of Stock Appreciation Rights (SARS).
- (2) Shares withheld to pay for the cost of the SARS and for the payment of the tax obligation on the exercise of the SARS.
- (3) Common stock acquired upon the lapse of Table II Restricted Stock Units based on the applicable Ball Corporation Stock and Cash Incentive Plan performance factor and in accordance with the provisions of said Plan.
- (4) Shares withheld for the payment of the tax obligation on the lapse of restrictions on Table II restricted stock units.
- (5) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (6) Exercise of Stock Appreciation Rights (SARS) in accordance with reporting person's November 7, 2017 10b5-1 Plan.
- (7) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- (8) Lapse of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.