Edgar Filing: Williams Rich - Form 4

Williams Ri Form 4 April 02, 20 FORM Check th if no lon subject to Section Form 4 of Form 5 obligation may cons <i>See</i> Instri 1(b).	19 A 4 UNITED his box ger o STATE o STATE o Filed pu Section 17	MENT O rsuant to (a) of the	Was F CHAN Section 1 Public U	shington, GES IN SECUR 6(a) of th tility Hole	D.C. 205 BENEFIC NTIES e Securitie	49 CIAL es Exc pany 2	, OWN change Act of	OMMISSION NERSHIP OF e Act of 1934, 1935 or Sectior 0	OMB Number: Expires: Estimated a burden hour response		
(Print or Type	Responses)										
Williams Rich Syr			Symbol	2. Issuer Name and Ticker or Trading Symbol Groupon, Inc. [GRPN]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	•	f Earliest Ti	-			(Check	c all applicable)	
				h/Day/Year)				_X_ Director10% Owner _X_ Officer (give titleOther (specify below) below) Chief Executive Officer			
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
CHICAGO, IL 60654								Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	le I - Non-E	Derivative So	ecuriti	ies Acqu	uired, Disposed of	, or Beneficiall	y Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	03/31/2019			М	122,110	А	\$0	3,136,687	D		
Common Stock	03/31/2019			F <u>(1)</u>	54,095	D	\$ 3.55	3,082,592	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Restricted Stock Units	(2)	03/31/2019		М	122,110	03/31/2016(3)	(3)	Common Stock	122,11

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Relationships Officer Chief Executive Officer	Other		
Williams Rich C/O GROUPON, INC. 600 WEST CHICAGO AVENUE, SUITE 40 CHICAGO, IL 60654	₀ X		Chief Executive Officer			
Signatures						
/s/ Erin G. Stone, by Power of Attorney	04/02/2019					

<u>**Signature of Reporting Person</u>

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld by the issuer to satisfy the mandatory tax withholding requirement upon vesting of restricted stock units. This is not an open market sale of securities.
- (2) Each restricted stock unit represents a contingent right to receive one share of Common Stock.

75,694 of the restricted stock units reported on this line vested on the last day of each calendar quarter over a one-year period beginning on March 31, 2016; 66,338 of the restricted stock units vested on the last day of each calendar quarter over a one-year period beginning on March 31, 2017; 140,427 of the restricted stock units vested on the last day of each calendar quarter over a one-year period beginning

(3) on March 31, 2017, 140,427 of the restricted stock units vested on the last day of each calendar quarter over a nine month period beginning on March 31, 2018; and 122,110 of the restricted stock units will vest on the last day of each calendar quarter over a nine month period beginning on March 31, 2019 with 122,111 of the restricted stock units vesting on December 31, 2019, in each case subject to Mr. Williams' continued employment with the Company through the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.