Edgar Filing: BRUDER ANN J. - Form 4

BRUDER ANN J. Form 4 June 20, 2018UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							OMB Number: Expires: Estimated a burden hour response	•		
(Print or Type	Responses)									
BRUDER ANN J. Symbol			Issuer Name and Ticker or Trading nbol LUCORA, INC. [BCOR]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Month/			ate of Earliest Transaction nth/Day/Year) 19/2018				Director 10% Owner X Officer (give title Other (specify below) below) Chief Legal Officer & Sec'y			
IRVING, T	nendment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 					
(City)	(State) (Z	Cip) Tabl	le I - Non-I	Derivative S	Securi	ties Acqu	uired, Disposed of,	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	ss Ownership Indirect ally Form: Direct Beneficia (D) or Ownershi ag Indirect (I) (Instr. 4) d (Instr. 4) ion(s)		
Common			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	06/19/2018		М	17,203 (1)	А	\$ 21.7	51,040	D		
Common Stock	06/19/2018		F	12,369 (1)	D	\$ 39.35	38,671	D		
Common Stock	06/19/2018		F	2,008 (2)	D	\$ 39.35	36,663	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control

Edgar Filing: BRUDER ANN J. - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 E S (1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 21.7	06/19/2018		М	17,203	(3)	06/19/2024	Common Stock	17,203	

Reporting Owners

Reporting Owner Name / Address	Relationships						
F	Director	10% Owner	Officer	Other			
BRUDER ANN J. 6333 N. STATE HWY 161, 6TH FLOOR IRVING, TX 75038			Chief Legal Officer & Sec'y				
Signatures							
/s/ Wendy Walton, as Attorney-in-Fact for An Bruder		00	6/20/2018				
**Signature of Reporting Person			Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents a "net exercise" of outstanding stock options. The reporting person received 4,834 shares of common stock on net exercise of options to purchase 17,203 shares of common stock. The reporting person forfeited 12,369 shares of common stock underlying the option in payment of the exercise price and applicable tax withholding, using the closing stock price on June 19, 2018 of \$39.35.

This transaction represents the withholding by the Issuer of shares to pay taxes in connection with the vesting of restricted stock units.(2) The timing and amount of the transaction were determined by the terms of the applicable restricted stock unit award and were not within

the control of the Reporting Person.

(3) 33.33% of the stock option grant vested on June 19, 2018, and approximately 16.67% of the grant will vest at the end of each six month period thereafter with the final vesting date to occur on June 19, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.