

Zheng Nanyan  
Form 3/A  
May 22, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |   |   |  |   |   |
|---|---|---|--|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Zheng Nanyan</p> <p>(Last) (First) (Middle)</p> <p>PLATENO GROUP PLAZA,<br/>300 XINJIAOXI<br/>ROAD,Â HAIZU DISTRICT</p> <p>(Street)</p> <p>GUANGZHOU,Â F4Â 510310</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/04/2018</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>KONA GRILL INC [KONA]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner<br/><input type="checkbox"/> Officer <input type="checkbox"/> Other<br/>(give title below) (specify below)</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> <p>05/14/2018</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input type="checkbox"/> Form filed by One Reporting Person<br/><input checked="" type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|--|---|---|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 2,651,261  | I <sup>(1)</sup>  | By Ahwanova Limited <sup>(2)</sup>                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

Edgar Filing: Zheng Nanyan - Form 3/A

| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) |
|------------------|-----------------|-------|----------------------------|----------|---------------------------------------|
|------------------|-----------------|-------|----------------------------|----------|---------------------------------------|

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Zheng Nanyan<br>PLATENO GROUP PLAZA, 300 XINJIAOXI ROAD<br>HAIZU DISTRICT<br>GUANGZHOU, F4 510310                | X             | X         |         |       |
| Ahwanova Ltd<br>PLATENO GROUP PLAZA, 300 XINJIAOXI ROAD<br>HAIZU DISTRICT<br>GUANGZHOU, F4 510310                |               | X         |         |       |
| Wisdom Sail Ltd<br>PLATENO GROUP PLAZA, 300 XINJIAOXI ROAD<br>HAIZU DISTRICT<br>GUANGZHOU, F4 510310             |               | X         |         |       |
| Audrey & Aaron Holdings Ltd<br>PLATENO GROUP PLAZA, 300 XINJIAOXI ROAD<br>HAIZU DISTRICT<br>GUANGZHOU, F4 510310 |               | X         |         |       |

## Signatures

|  |            |      |
|--|------------|------|
| /s/ Nanyan Zheng   | 05/22/2018 |      |
| **Signature of Reporting Person  |            | Date |
| /s/ Nanyan Zheng, Director, on behalf of Ahwanova Limited                | 05/22/2018 |      |
| **Signature of Reporting Person  |            | Date |
| /s/ Nanyan Zheng, Director, on behalf of Wisdom Sail Limited             | 05/22/2018 |      |
| **Signature of Reporting Person  |            | Date |
| /s/ Nanyan Zheng, Director, on behalf of Audrey & Aaron Holdings Limited | 05/22/2018 |      |
| **Signature of Reporting Person  |            | Date |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 3 is filed jointly by (a) Mr. Zheng, Nanyan ("Mr. Zheng"), (b) Ahwanova Limited ("Ahwanova"), (c) Wisdom Sail Limited ("Wisdom Sail") and (d) Audrey & Aaron Holdings Limited ("Audrey & Aaron Holdings," together with Mr. Zheng, Ahwanova, Wisdom

## Edgar Filing: Zheng Nanyan - Form 3/A

Sail, collectively, the "Reporting Persons").

Ahwanova is the beneficial owner of the 2,651,261 shares of Common Stock it beneficially holds. Ahwanova is wholly-owned by Wisdom Sail, which in turn is wholly-owned by Audrey & Aaron Holdings, which, then, in turn, is wholly owned by Equity Trustee Limited as trustee of The Happy Family Trust. Mr. Zheng is the sole director of each of Ahwanova, Wisdom Sail and Audrey & Aaron

- (2) Holdings, and is the sole settlor of The Happy Family Trust. The beneficiaries of The Happy Family Trust are Mr. Zheng, Mr. Zheng's wife (namely, Li, Sha), and Mr. Zheng's two children (namely, Zheng, Ruida and Zheng, Ruixi). Therefore, pursuant to Section 13(d) of the Act and the rules promulgated thereunder, Audrey & Aaron Holdings, Wisdom Sail, and Mr. Zheng may each be deemed to beneficially own the 2,651,261 shares of Common Stock held by Ahwanova.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.