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ROCKY MOUNTAIN CHOCOLATE FACTORY INC

Form 4 April 11, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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January 31,

2005

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading JOBSON WILLIAM KEY Issuer Symbol **ROCKY MOUNTAIN** (Check all applicable) CHOCOLATE FACTORY INC [RMCF] Director 10% Owner X_ Officer (give title Other (specify (Last) (First) (Middle) 3. Date of Earliest Transaction below) (Month/Day/Year) Chief Information Officer **265 TURNER DRIVE** 04/10/2014 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting DURANGO, CO 81301 Person

(Zip) (City) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned A Securities Acquired (A) 5 Amount of 2 Transaction Date 2A Deemed

Security (Month/Day/Year) Execution Date, if Transactionor Disposed of (Instr. 3) any Code (Instr. 3, 4 and 5	•	ıl
(Instr. 2) Code (Instr. 2.4 and 5)	•	ıl
(Instr. 3) any Code (Instr. 3, 4 and 5	Owned Direct (D) Ownersh	
(Month/Day/Year) (Instr. 8)	owned Breet (B) ownersh	ip
	Following or Indirect (Instr. 4)	
(Λ)	Reported (I)	
(A)	Transaction(s) (Instr. 4)	
or Code V Amount (D)	Price (Instr. 3 and 4)	
Common 04/10/2014 M 17,640 A	\$ 46,770 D	
Common 04/10/2014 F ₍₁₎ 13,642 D	\$ 11.6 33,128 D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 7.4082	04/10/2014		M		17,640	06/18/2005	06/18/2014	Common Stock	17,640

Reporting Owners

Director 10% Owner Officer Other

Chief

Information Officer

JOBSON WILLIAM KEY 265 TURNER DRIVE DURANGO, CO 81301

Signatures

/s/ William Key Jobson 04/11/2014

**Signature of Reporting Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld by the issuer to cover the exercise price and applicable taxes in connection with the exercise of the stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2