Edgar Filing: KIEN GERALD A - Form 4

KIEN GERA	LD A											
Form 4												
July 12, 2012												
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
	■ UNITED S	TATES		ITIES AI hington, l			NGE (COMMISSION	OMB Number:	3235-0287		
	Check this box							Expires:	January 31,			
if no longe subject to	er STATEM	ENT OF	CHAN	GES IN F	BENEFI	CIA	LOW	NERSHIP OF		2005		
	Section 16. SECURITIES								Estimated average burden hours per			
Form 4 or									response			
Form 5 obligation							-	ge Act of 1934,				
may conti				•	•	- ·		f 1935 or Sectio	n			
See Instru		30(h) c	of the Inv	estment (Company	y Act	of 19	40				
1(b).												
(Print or Type R	esponses)											
(I fint of Type R	esponses)											
1. Name and Ad	ddress of Reporting P	erson *	2 Issuer	Name and '	Ticker or 7	Fradin	σ	5. Relationship of	Reporting Person(s) to			
KIEN GERALD A Symbol				Name and Ticker or Trading				Issuer	1. 0			
ROCKY MOUNTAIN												
CHOCOLATE FACTORY INC (C					(Chec	ck all applicable)						
			[RMCF]			X Directo			10% Owner			
(Last) (First) (Middle) 265 TURNER DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 07/12/2012					Officer (give title Other (specify				
								below)	below)			
(Street)			4. If Amendment, Date Original					6 Individual or Joint/Group Filing(Chask				
					e Originai			6. Individual or Joint/Group Filing(Check Applicable Line)				
Filed(Month/Day/Year)								One Reporting Person				
DURANGO	, CO 81301							Form filed by M Person	More than One Re	eporting		
(City)	(Stata) (7in)										
(City)	(State) (Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficial	lly Owned		
1.Title of	2. Transaction Date			3. 4. Securities					6. Ownership			
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)		TransactionAcquired (A) or Code Disposed of (D)				Securities Beneficially	Form: Direct (D) or	Indirect Beneficial		
(1130.5)							· · · ·	ndirect (I) Instr. 4)	Ownership			
							Following		(Instr. 4)			
						(A)		Reported				
						or		Transaction(s) (Instr. 3 and 4)				
C	07/10/2010	07/10/0	010	Code V		(D)	Price		D			
Common	07/12/2012	07/12/20	012	S	1,000	D	\$12	42,466	D			
Reminder: Repo	ort on a separate line f	or each cla	ss of secur	ities benefic	cially own	ed dire	ectly or	indirectly.				

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: KIEN GERALD A - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Addr	ess	Relationships						
1	Director	10% Owner	Officer	Other				
KIEN GERALD A 265 TURNER DRIVE DURANGO, CO 81301	Х							
Signatures								
/s/ Gerald Kien	07/12/2012							
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.