### Edgar Filing: Allison Transmission Holdings Inc - Form 4

Allison Transmission Holdings Inc Form 4 November 18, 2013

November	16, 2015										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
Washington, D.C. 20549							OMB Number:	3235-0287			
Check th if no lon	nger					Expires:	January 31, 2005				
subject t Section Form 4 (	to <b>STATE</b> 16.	STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES							Estimated a burden hour response	verage	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Kirk Randall R.			2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
			Allison Transmission Holdings Inc [ALSN]					(Check all applicable)			
(Mon			(Month/I	. Date of Earliest Transaction Month/Day/Year)				Director 10% Owner Officer (give title Other (specify below) below)			
ONE ALLISON WAY 11/1				1/15/2013				VP, Product Engineering			
	(Street)			endment, D nth/Day/Yea	-	al		5. Individual or Joi Applicable Line)	nt/Group Filin	g(Check	
INDIANAPOLIS, IN 46222 INDIANAPOLIS, IN 46222 INDIANAPOLIS IN 4622 INDIANAPOLIS IN 46222 INDIANAPOLIS IN 4622 INDIANAPOLIS IN 462 INDIANAPOLIS IN 4622 INDIANAPOLIS IN 462 INDIANAPOLIS IN 462 IND											
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	e Secu	rities Acqu	ired, Disposed of,	or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		n Date, if	3. 4. Securities Acquired (A Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				) 5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	11/15/2013			М	9,424	А	\$ 8.44	20,236	D		
Common Stock	11/15/2013			S <u>(1)</u>	9,424	D	\$ 26.1014 (2)	10,812	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 8.44	11/15/2013		М	9,424	(3)	09/30/2017	Common Stock	9,424

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
Kirk Randall R. ONE ALLISON WAY INDIANAPOLIS, IN 46222			VP, Product Engineering					
Signatures								

#### /s/ Eric C. Scroggins, 11/18/2013 attorney-in-fact

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 31, 2013.

The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$26,0000 (2) to \$26.1600. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(3) The option vested in five equal annual installments beginning on August 7, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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