## Edgar Filing: Weingarth Roger - Form 4

Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. SECURITIES SECURITIES	Weingarth R Form 4	loger										
Check this box The longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to subject to SECURITIES S										OMB AF	PROVAL	
if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires:       2005         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940         (Print or Type Responses)       I. Name and Address of Reporting Person 1       S. Relationship of Reporting Person(s) to Issuer       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 20(c) (below)       Sec		UNIII	ED STATES								3235-0287	
(Print or Type Responses)       1. Name and Address of Reporting Person 1       2. Issuer Name and Ticker or Trailing Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer         C/O CALIX, INC., 1035 N.       07/19/2011       07/19/2011       Transaction (Month/Day/Year)       Transaction (Month/Day/Year)         C/O CALIX, INC., 1035 N.       07/19/2011       07/19/2011       Table Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line)         C(Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line)       2. Framsaction Date 2A. Deemed Securities Acquired Securities Acquired Securities Common (Month/Day/Year)       5. Amount of Securities Owned of (D) on Comership Filing(Check Instr. 3, 4 and 5)         1. Title of Stock       2. Transaction Date (Applicable Line)       3. 4. Securities Acquired Securities Common (Instr. 3, 4 and 5)       5. Amount of Securities Owned for the Common (D) or Ownership (Instr. 4)         Code       V       Amount       0       0       0       0       0       0       0       1       1       1       1       1       1       1       1       1       1       1       1       1       1       1       1	if no long subject to Section 1 Form 4 c Form 5 obligatio may cont See Instr	ger o 16. or Filed ns tinue.	<b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040								Estimated average burden hours per response 0.5	
Weingarth RogerIssuer(Last)(First)(Middle)3. Date of Earliest Transaction(Check all applicable)(Last)(First)(Middle)3. Date of Earliest Transaction(Check all applicable)C/O CALIX, INC., 1035 N. MCDOWELL BLVD.(Month/Day/Year) $\sim$ $\sim$ $\sim$ (Street)4. If Amendment, Date Original Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check Applicable Line) -X. Form filed by One Reporting Person -Form filed by One Reporting Person -Form filed by One Reporting Person6. Individual or Joint/Group Filing(Check Applicable Line) -X. Form filed by One Reporting Person -Form filed by One Reporting Person -Form filed by One Reporting Person7. Nature of Security (City)6. 7. Nature of Indirect(City)(State)(Zip)Table I - Non-Derivative Securities Acquired (Instr. 3)5. Amount of (Instr. 4) or Disposed of (D) Security (Month/Day/Year)6. 7. Nature of Securities Acquired (Instr. 3)7. Nature of (Instr. 4)(Common Stock07/19/2011A $\frac{90,000}{(1)}$ A\$ 0336,353 (2) (Instr. 4)D		Responses)										
(Last)       (First)       (Middle)       3. Date of Earliest Transaction         (Last)       (First)       (Middle)       3. Date of Earliest Transaction         (C/O CALIX, INC., 1035 N. MCDOWELL BLVD.       07/19/2011				Symbol					Issuer			
Filed(Month/Day/Year)       Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of Security       2. Transaction Date (Month/Day/Year)       2A. Deemed Execution Date, if any (Month/Day/Year)       3.       4. Securities Acquired Transactior(A) or Disposed of (D) Code       5. Amount of Security       6.       7. Nature of Indirect         0.Wneth/Day/Year)       (Month/Day/Year)       (Instr. 3, 4 and 5)       5. Amount of Code       6.       7. Nature of Indirect         0.Wneth/Day/Year)       (Month/Day/Year)       (Instr. 8)       0       Securities (Instr. 4)       6.       7. Nature of Indirect         0.Wneth/Day/Year)       (Month/Day/Year)       (Instr. 8)       0       Securities (Instr. 4)       0       Owned (Instr. 4)         0.Wneth/Day/Year)       (Month/Day/Year)       (Instr. 8)       0       Securities (Instr. 4)       0       Ownethip (Instr. 4)         0.Wneth/Day/Year)       (Month/Day/Year)       (Instr. 3, 4 and 5)       0       Securities (Instr. 4)       Ownethip (Instr. 4)         0.Wneth/Day/Year)       (Month/Day/Year)       (Instr. 3, 4 and 5)       (Instr. 3, 4 and 5)       D         0.D       Code       V <t< td=""><td>C/O CALIX</td><td colspan="4">(Month/Day/Year)</td><td></td><td colspan="4"> Director 10% Owner X Officer (give title Other (specify below) below)</td></t<>	C/O CALIX	(Month/Day/Year)					Director 10% Owner X Officer (give title Other (specify below) below)					
PETALOMA, CA 94934       Person         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of Security       2. Transaction Date (Month/Day/Year)       2A. Deemed Execution Date, if any (Month/Day/Year)       3.       4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5)       5. Amount of Securities Beneficially Form: Direct Beneficially Owned (D) or Ownership Indirect (I) (Instr. 4)         Common Stock       07/19/2011       A       90,000 (1)       A       \$ 0       336,353 (2) (2)       D					-				Applicable Line) _X_ Form filed by One Reporting Person			
1. Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3.4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5)5. Amount of Securities Beneficially Owned Following (Instr. 4)6.7. Nature of Indirect Beneficially Owned (D) or (Instr. 4)Common Stock07/19/2011 $A$ $\frac{90,000}{(1)}$ $A$ $\$ 0$ $336,353 (2)$ DCommon Stock07/20/2011 $E$ $I0.503$ $D$ $\$$ $325,850 (3)$ D	PETALUM	A, CA 94954							· · · · · · · · · · · · · · · · · · ·	ore than One Re	porting	
Security (Instr. 3)(Month/Day/Year)Execution Date, if any (Month/Day/Year)Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5)Securities Beneficially Owned following Reported Transaction(s)Ownership Beneficially (D) or (Instr. 4)Indirect Beneficial (D) or (Instr. 4)Common Stock07/19/2011A90,000 (1)A\$ 0336,353 (2)DCommon Stock07/20/2011E10,503D\$325,850 (3)D	(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Securi	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
Common Stock $07/19/2011$ Code       V       Amount       (D)       Price       (Instr. 3 and 4)         Common Stock $07/19/2011$ A $90,000$ A       \$ 0 $336,353 \frac{(2)}{(2)}$ D         Common $07/20/2011$ E $10,503$ D       \$ 325,850 \frac{(3)}{(3)}       D	Security (Month/Day/Year) Execution (Instr. 3) any		n Date, if Transaction Code		on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A)		Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership			
(1/2)(2)(1) F $(1)(5)(3-1)$ $(3/5)(3/2)$ D		07/19/2011				90,000	(D)			D		
		07/20/2011			F	10,503	D		325,850 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. iorNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	7. Tit Amou Unde Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
Weingarth Roger C/O CALIX, INC. 1035 N. MCDOWELL BLVD. PETALUMA, CA 94954			Executive VP and COO						
Signatures									
/s/ Michael Ashby as Attorney-in-F Weingarth	Fact for Roge	er	07/21/2011						

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock awards ("RSAs"). The shares subject to the RSA will vest in four (4) successive and equal annual installments measured
  (1) from July 20, 2011 (the "Vesting Start Date"), such that 100% of the shares subject to RSAs will be fully vested on the fourth anniversary of the Vesting Start Date.

Date

- (2) Includes 90,000 restricted stock units and 90,000 restricted stock awards.
- (3) Includes 67,500 restricted stock units and 90,000 restricted stock awards.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.