Carbonite Inc Form 4 February 03, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number: Expires:

Issuer

January 31, 2005

0.5

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Carbonite Inc [CARB]

Symbol

1(b).

(Print or Type Responses)

**HUDSON CASSANDRA** 

1. Name and Address of Reporting Person \*

See Instruction

							(Check all applicable)					
(Last)	(First)	(Middle)	3. Date of	f Earliest Tr	ansaction							
C/O CARBONITE, INC., TWO				(Month/Day/Year) 01/30/2017					Director 10% _X_ Officer (give title Oth			
AVENUE I	01/30/2	below) below)										
AVENUE						Chief Accounting Officer						
				4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
				Filed(Month/Day/Year)				Applicable Line)				
DOGEOV MA COLLA								_X_ Form filed by One Reporting Person Form filed by More than One Reporting				
BOSTON, MA 02111								Person				
(City) (State) (Zip) <b>Table I - Non</b> -						-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of	2. Transaction Da	e 2A. Dee	emed	3. 4. Securities Acquired				5. Amount of Securities	6. Ownership 7.	7. Nature of		
Security	(Month/Day/Year)		Execution Date, if						Form: Direct			
(Instr. 3)		any (Month/	Code (Instr. 3, 4 and 5) h/Day/Year) (Instr. 8)				3)	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		(1.1011111	2uj, 10u1)	(A)				Following	(Instr. 4)			
							Reported					
						or		Transaction(s) (Instr. 3 and 4)				
				Code V	Amount	(D)	Price	(msu. 3 and 1)				
Common	01/30/2017			M	1,562 (1)	A	\$ 10.4	36,782 <u>(2)</u>	D			
Stock					(1)							
Common	01/30/2017			S	1,562	D	\$	35,220 <u>(2)</u>	D			
Stock	01/30/2017			5	(1)	D	16.55	33,220	D			
Common	02/01/2017			3.4	(05 (1)		\$	25.045.(2)	D			
Stock	02/01/2017			M	625 (1)	А	\$ 10.32	35,845 <u>(2)</u>	D			
Common												
Stock	02/01/2017			D	625 (1)	D	\$ 19	$35,220 \frac{(2)}{2}$	D			
					2.422		ф					
Common Stock	02/02/2017			S	2,433	D	\$ 18.98	32,787 <u>(4)</u>	D			
Stock					(3)		10.98					

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D  $^{\$}_{19.05}$  28,936  $^{(4)}$ Common 3,851 02/03/2017 S D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 10.4	01/30/2017		M	1,562	01/28/2015	10/28/2024	Common Stock	1,562	
Stock Option (Right to Buy)	\$ 10.32	02/01/2017		M	625	08/01/2013	05/01/2023	Common Stock	625	

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

**HUDSON CASSANDRA** C/O CARBONITE, INC. TWO AVENUE DE LAFAYETTE BOSTON, MA 02111

**Chief Accounting Officer** 

# **Signatures**

/s/ Danielle Sheer, by power of 02/03/2017 attorney

> \*\*Signature of Reporting Person Date

Reporting Owners 2 Edgar Filing: Carbonite Inc - Form 4

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares automatically sold pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 10, 2016.
- (2) Includes 35,220 shares of common stock subject to restricted stock units.
- (3) Represents shares automatically sold solely to satisfy tax withholding obligations of reporting person on vesting of restricted stock units.
- (4) Includes 28,936 shares of common stock subject to restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.