FOREST LABORATORIES INC

Form 4 May 16, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

OMB APPROVAL

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Bailey A Robert D

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol

FOREST LABORATORIES INC

(Check all applicable)

5. Relationship of Reporting Person(s) to

[FRX]

(Last)

(City)

1. Title of

Security

(Instr. 3)

(Middle)

3. Date of Earliest Transaction

Director 10% Owner X_ Officer (give title Other (specify

(Month/Day/Year)

05/13/2014

SVP Chief Legal Off, GC & Sec

6. Individual or Joint/Group Filing(Check

C/O FOREST LABORATORIES. INC., 909 THIRD AVENUE

(First)

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10022

(State) (Zip) 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Beneficially (D) or Owned Following

Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Indirect

(A) Transaction(s) or (Instr. 3 and 4)

Reported

Price Code V Amount (D)

Common 05/13/2014 Stock

8.519 A (1)

\$0 $27,856 \frac{(2)}{2}$

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: FOREST LABORATORIES INC - Form 4

1. 11	2.	3. Transaction Date		4.	5. Number of	6. Date Exercisab	le and	7. Title and A	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	*		Expiration Date		Underlying S	
Security	or Exercise		any	Code	Securities	(Month/Day/Year	:)	(Instr. 3 and	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)				
	Derivative				or Disposed of				
	Security				(D)				
					(Instr. 3, 4,				
					and 5)				
						Date Exercisable	Expiration Date	Title	Amount or Number
				Code V	(A) (D)		Date		of Share
Stock									
Option (Right to	\$ 92.645	05/13/2014		A	27,132	05/13/2015(3)	05/12/2024	Common Stock	27,132

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bailey A Robert D C/O FOREST LABORATORIES, INC. 909 THIRD AVENUE NEW YORK, NY 10022

SVP Chief Legal Off, GC & Sec

Signatures

Buy)

/s/ Rita Weinberger as attorney-in-fact for A. Robert D.

Bailey 05/15/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares of common stock are issued under the Company's 2007 Equity Incentive Plan and are subject to a risk of forfeiture, which lapses as to 33% of the shares on May 15, 2015, 33% of the shares on May 15, 2016 and 34% of the shares on May 15, 2017.
- (2) This amount includes shares of common stock which are subject to a risk of forfeiture.
- (3) The option becomes exercisable as to 25% of the shares covered by the option on each of the first four anniversaries of the grant date (May 13, 2014).
- (4) Not Applicable.

Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2