Edgar Filing: BANCROFT FUND LTD - Form 4

| BANCROF | T FUND LTD | | | | | | | | | | |
|--|---|------------|------------------------------------|--------------------------------|--|------------------------------|---------------|--|--|---|--|
| Form 4 | | | | | | | | | | | |
| November 1 | ЛЛ | | | | | | | | OMB AF | PPROVAL | |
| | UNITED | STATES | | RITIES A shington | | | | OMMISSION | OMB Number: | 3235-0287 | |
| Check th if no lon | ger | | | | | | | | Expires: | January 31, 2005 | |
| subject t Section Form 4 d | F CHAN | | I BENEF RITIES | ERSHIP OF | Estimated average burden hours per response 0. | | | | | | |
| Form 5 obligation may com <i>See</i> Instr 1(b). | ons Section 17 | (a) of the | Public U | tility Ho | lding Co | mpar | U | Act of 1934, 1935 or Section) | • | | |
| (Print or Type | Responses) | | | | | | | | | | |
| | | | 21 issuer Hume und Hener of Humang | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date c | of Earliest T | Fransaction | ı | | (Check | an applicable |) | |
| | CO INVESTORS CORPORATE (| | (Month/I 11/09/2 | Day/Year) 2015 | | | | _X_ Director Officer (give t below) | | Owner er (specify | |
| (Street) 4.] | | | 4. If Am | 4. If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| RYE, NY 1 | 0580 | | Filed(Mo | onth/Day/Yea | ar) | | | Applicable Line) _X_ Form filed by O Form filed by M | | | |
| (City) | (State) | (Zip) | | | | | | Person | | | |
| | ` | - | | | | | - | ired, Disposed of, | | • | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | n Date, if | Code (Instr. 8) | 4. Securi oror Dispos (Instr. 3, Amount | sed of 4 and (A) or | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Shares | 11/09/2015 | | | Р | 1,250 | A | \$ 19.3971 | 1,250 | Ι | Trust account for son <u>(1)</u> | |
| Common Shares | 11/09/2015 | | | Р | 1,250 | A | \$ 19.3971 | 1,250 | I | Trust account for daughter (2) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of
information contained in this form are not
required to respond unless the formSEC 1474
(9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. 6. Date Exercisab onNumber Expiration Date of (Month/Day/Year Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4 | 8. Price of Derivative Security (Instr. 5)4) | 9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Amoun or Title Numbe of Shares | er | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-------------|---------|------------|--|--|--|--|
| 1. 6. | Director | 10% Owner | Officer | Other | | | | |
| VANEKRIS ANTHONIE C C/O GAMCO INVESTORS, INC. ONE CORPORATE CENTER RYE, NY 10580 | Х | | | | | | | |
| Signatures | | | | | | | | |
| Agnes Mullady as Attorney-in-Fact Ekris | for Antho | onie C. van | | 11/10/2015 | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares reported are held in a trust account for the son of Mr. van Ekris. Mr. van Ekris also serves as the trustee on the account.

(2) The shares reported are held in a trust account for the daughter of Mr. van Ekris. Mr. van Ekris also serves as the trustee on the account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.