Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

PEAPACK GLADSTONE FINANCIAL CORP

Form 4 March 31, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

See Instruction

P			Symbol PEAPACK GLADSTONE FINANCIAL CORP [PGC]				Issuer (Check all applicable)		
(Last) 190 MAIN		(Month/	3. Date of Earliest Transaction (Month/Day/Year) 03/29/2006			X Director X Officer (give below)		Owner er (specify	
GLADSTO	(Street) NE, NJ 07934		endment, Da onth/Day/Year	Č	al			•	rson
(City)		(Zip) Tak	le I - Non-I	Derivative	Secur	rities Acq	Person uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi or(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/29/2006		S	2,000	D	\$ 24.74	11,499.8953	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options (Right to Buy)	\$ 11.85					<u>(1)</u>	08/14/2007	Common Stock	9,244	
Stock Options (Right to Buy)	\$ 18.66					<u>(2)</u>	02/05/2009	Common Stock	5,870	
Stock Options (Right to Buy)	\$ 16.86					<u>(3)</u>	01/01/2011	Common Stock	3,993	
Stock Options (Right to Buy)	\$ 13.62					<u>(4)</u>	05/10/2011	Common Stock	2,661	
Stock Options (Right to Buy)	\$ 28.89					01/09/2004	01/09/2014	Common Stock	21,999	

Reporting Owners

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
ROGERS ROBERT MARTIN 190 MAIN STREET GLADSTONE, NJ 07934	X		President and COO				
Cianaturas							

Signatures

Robert M. 03/31/2006 Rogers

Date

Reporting Owners 2

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were exercisable in five equal annual installments on August 14, 1998, 1999, 2000, 2001 and 2002.
 - The options were exercisable in five equal annual installments on February 5, 2000, 2001, 2002, 2003 and 2004. On December 11, 2003,
- (2) the Compensation Committee of the Board of Directors of Peapack-Gladstone Financial Corporation authorized the accelerated vesting of the remaining options.
 - The options were exercisable in five equal annual installments on January 11, 2002, 2003, 2004, 2005 and 2006. On December 11, 2003,
- (3) the Compensation Committee of the Board of Directors of Peapack-Gladstone Financial Corporation authorized the accelerated vesting of the remaining options.
 - The options were exercisable in five equal annual installments on May 10, 2002, 2003, 2004, 2005 and 2006. On December 11, 2003, the
- (4) Compensation Committee of the Board of Directors of Peapack-Gladstone Financial Corporation authorized the accelerated vesting of the remaining options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. n Stock $24{,}311$ $24{,}311$ D Stock Option \$60.84 07/06/201707/05/2026 Common Stock $76{,}652$ $76{,}652$ D

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Vossler Jennifer R. 911 PANORAMA TRAIL SOUTH ROCHESTER, NY 14625

VP/Controller

Signatures

Stephanie L. Schaeffer, Attorney-in-fact

07/14/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of restricted stock, subject to vesting, pursuant to the performance award under the Amended and Restated 2002 Stock Incentive Plan.

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Signatures 3