#### SPECTRUM CONTROL INC

Form 4

November 03, 2005

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

OMB 3235-0287 Number:

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response... 0.5

Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Washington, D.C. 20549

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **KUTCHIN MELVIN** Issuer Symbol SPECTRUM CONTROL INC (Check all applicable) [SPEC] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 8031 AVONIA ROAD 11/01/2005 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting FAIRVIEW, PA 16415 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of 3. Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Beneficially Beneficial Disposed of (D) (D) or (Month/Day/Year) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 27,500 D Stock Common 10,000 I by spouse Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Options	\$ 7					<u>(1)</u>	04/05/2006	Common Stock	7,50
Options	\$ 7.28					<u>(2)</u>	04/11/2007	Common Stock	12,0
Options	\$ 5.28					<u>(3)</u>	04/16/2008	Common Stock	12,0
Options	\$ 8.66					<u>(4)</u>	04/12/2009	Common Stock	12,0
Non-qual. Stock Options	\$ 7.44					<u>(5)</u>	04/07/2010	Common Stock	12,0
Non-qualified Stock Options	\$ 6.31	11/01/2005		A	24,000	<u>(6)</u>	11/01/2010	Common Stock	24,0

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
KUTCHIN MELVIN 8031 AVONIA ROAD	X						
FAIRVIEW, PA 16415	11						

## **Signatures**

John P. Leemhuis, Jr. Attorney in fact for Melvin Kutchin 11/03/2005

\*\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All of the options are currently exercisable.
- (2) 2/3rds of the options are currently exercisable and the final 1/3rd are exercisable on 4/11/06.

Reporting Owners 2

Date

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- (3) 1/3rd of the options are exercisable on 4/16/05, 1/3rd are exercisable on 4/16/06 and the final 1/3rd are exercisable on 4/16/07.
- (4) 1/3rd of the options are exercisable on 4/12/06, 1/3rd are exercisable on 4/12/07 and the final 1/3rd are exercisable on 4/12/08.
- (5) 1/3rd of the options are exercisable on 4/07/07, 1/3rd are exercisable on 4/07/08 and the final 1/3rd are exercisable on 4/07/09.
- (6) 1/3rd of the options are exercisable on 11/1/07, 1/3rd are exercisable on 11/1/08 and the final 1/3rd are exercisable on 11/1/09.
- (7) Granted under the Spectrum Control, Inc. 1996 Non-Employee Directors' Stock Option Plan which is a Rule 16(b)(3) Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.