#### Edgar Filing: HARMAN INTERNATIONAL INDUSTRIES INC /DE/ - Form 3

#### HARMAN INTERNATIONAL INDUSTRIES INC /DE/

Form 3

January 05, 2015

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

response...

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Rowland Sandra E.

(Last) (First) (Middle)

C/O HARMAN **INTERNATIONAL** INDUSTRIES, INC, 400 ATLANTIC STREET, SUITE 1500

(Street)

Statement

(Month/Day/Year) 01/01/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

HARMAN INTERNATIONAL INDUSTRIES INC /DE/

[HAR]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director 10% Owner Officer Other (give title below) (specify below) EVP and CFO

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

STAMFORD, CTÂ 06901

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

5. Conversion or Exercise

Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Share Unit	(2)	(2)	Common Stock	392	\$ <u>(1)</u>	D	Â
Restricted Share Unit	(3)	(3)	Common Stock	456	\$ <u>(1)</u>	D	Â
Restricted Share Unit	(4)	(4)	Common Stock	690	\$ <u>(1)</u>	D	Â
Restricted Share Unit	(5)	(5)	Common Stock	778	\$ <u>(1)</u>	D	Â
Restricted Share Unit	(6)	(6)	Common Stock	715	\$ <u>(1)</u>	D	Â
Stock Option (right to buy)	(7)	10/08/2022	Common Stock	2,217	\$ 45.9	D	Â

## **Reporting Owners**

Reporting Owner Name / Address		Relationships					
		10% Owner	Officer	Other			
Rowland Sandra E. C/O HARMAN INTERNATIONAL INDUSTRIES, INC 400 ATLANTIC STREET, SUITE 1500 STAMFORD, CT 06901	Â	Â	EVP and CFO	Â			

# **Signatures**

Marisa Iasenza, as attorney-in-fact, for Sandra E. Rowland 01/05/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted share unit represents a contingent right to receive one share of Harman's common stock.
- (2) Restricted share units vest fully on September 9, 2017, 3 years from the date of grant.
- (3) Restricted share units vest fully on August 15, 2017, 3 years from the date of grant.
- (4) Restricted share units vest fully on September 10, 2016, 3 years from the date of grant.
- (5) Restricted share units vest fully on August 15, 2016, 3 years from the date of grant.
- (6) Restricted share units vest fully on October 8, 2015, 3 years from the date of grant.
- (7) Options vest in three equal annual installments commencing on October 8, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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