Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

REGENERON PHARMACEUTICALS INC

Form 4

Common

Stock

11/13/2014

November 14, 2014

FORM	ΛΔ								OMB AF	PROVAL	
	UNITED	STATES		RITIES A shington,			NGE CO	OMMISSION	OMB Number:	3235-028	
Check the if no lon subject to Section	ger STATEN	MENT OF	CHAN	IGES IN SECUR		ICIA	ERSHIP OF	Expires: Januar Estimated average burden hours per			
Form 4 of Form 5 obligation may con See Instruction 1(b).	Filed pur ons Section 17(a) of the P	ublic U		ding Con	npany	Act of 1	Act of 1934, 1935 or Section	response	o.	
(Print or Type	Responses)										
Van Plew Daniel P Syn				mbol EGENERON				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			PHARMACEUTICALS INC [REGN]					Director10% Owner			
(Month/				th/Day/Year)				X Officer (give title Other (specify below) SVP & General Mgr Industrial O			
ROAD	AW MILL RIVE	K	11/12/2	014							
	(Street)			endment, Da nth/Day/Year	_	ĺ	A	6. Individual or Joi Applicable Line) X_Form filed by O			
TARRYTO	WN, NY 10591						Ī	Form filed by Mo Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative (Secur	ities Acqui	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	n Date, if Transactiom Disposed of (D) Securities Code (Instr. 3, 4 and 5) Beneficially Day/Year) (Instr. 8) Owned Following Reported Transaction				Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	11/12/2014			Code V M	Amount 18,700	(D)	Price \$ 21.25		D		
Common Stock	11/12/2014			F	996	D	\$ 398.93	33,145	D		
Common Stock	11/12/2014			F	9,129	D	\$ 398.93	24,016	D		

S

7,074

\$

(1)

D

399.21 16,942

D

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Common Stock	11/13/2014	S	1,501	D	\$ 399.95 (2)	15,441	D	
Common Stock						1,394	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy)	\$ 21.25	11/12/2014		M	18,700	(3)	12/18/2019	Common Stock	18,7

Reporting Owners

Reporting Owner Name / Address	Keiationsinps						
	Director	10% Owner	Officer	Other			

Van Plew Daniel P 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591

SVP & General Mgr Industrial O

Signatures

Person

/s/**Daniel P.
Van Plew

**Signature of Reporting

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- Represents volume-weighted average price of sales of 7,074 shares of Company stock on November 13, 2014 at prices ranging from (1) \$398.85 to \$399.51. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 13, 2014 at each separate price.
- Represents volume-weighted average price of sales of 1,501 shares of Company stock on November 13, 2014 at prices ranging from \$399.86 to \$400.00. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 13, 2014 at each separate price.
- (3) The option became exercisable with respect to all shares underlying the option on December 31, 2012, based upon the satisfaction by the company of certain performance criteria during the period ended December 31, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.