

FRAZIER LARRY D
Form 4
November 28, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FRAZIER LARRY D

2. Issuer Name and Ticker or Trading Symbol
GREAT SOUTHERN BANCORP, INC. [GSBC]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
CARE OF GREAT SOUTHERN BANK, 218 S. GLENSTONE AVENUE

3. Date of Earliest Transaction (Month/Day/Year)
11/28/2018

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)

(Street)
SPRINGFIELD, MO 65802

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| Common stock | | | | (A) or (D) Price | 91,800 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. F. Derivative Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| | | | | | | Code | V | (A) | (D) |
| Option to purchase | \$ 55 | 11/28/2018 | | A | 500 | 11/28/2020 | 11/28/2028 | Common stock | 500 |
| Option to purchase | \$ 55 | 11/28/2018 | | A | 500 | 11/28/2021 | 11/28/2028 | Common stock | 500 |
| Option to purchase | \$ 55 | 11/28/2018 | | A | 500 | 11/28/2022 | 11/28/2028 | Common stock | 500 |
| Option to purchase | \$ 55 | 11/28/2018 | | A | 500 | 11/28/2023 | 11/28/2028 | Common stock | 500 |
| Option to purchase | \$ 32.59 | | | | | <u>(1)</u> | 10/15/2024 | Common stock | 5,000 |
| Option to purchase | \$ 41.3 | | | | | <u>(2)</u> | 10/24/2026 | Common Stock | 2,000 |
| Option to purchase | \$ 52.2 | | | | | <u>(3)</u> | 11/15/2027 | Common stock | 2,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| FRAZIER LARRY D CARE OF GREAT SOUTHERN BANK 218 S. GLENSTONE AVENUE SPRINGFIELD, MO 65802 | | | | |

Signatures

Matt Snyder, Attorney-in-fact for Larry D. Frazier 11/28/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 1,250 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019

(2) 500 shares vest on 10/24/2018, 10/24/2019, 10/24/2020 and 10/24/2021

(3) 500 shares on 11/15/2019, 11/15/2020, 11/15/2021 and 11/15/2022

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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