

HOBACK BOYD E  
Form 4  
May 17, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HOBACK BOYD E

2. Issuer Name and Ticker or Trading Symbol  
Good Times Restaurants Inc.  
[GTIM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
141 UNION BOULEVARD, #400  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
05/16/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)  
President, CEO

LAKESWOOD, CO 80228

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common	05/16/2018		P	2,000	\$ 3.187	92,927	D
Common	05/16/2018		P	400	\$ 3.183	93,327	D
Common	05/16/2018		P	600	\$ 3.185	93,927	D
Common	05/17/2018		P	200	\$ 3.199	94,127	D
Common	05/17/2018		P	300	\$ 3.175	94,427	D

Edgar Filing: HOBACK BOYD E - Form 4

Common 05/17/2018 P 110 A \$ 3.2 94,537 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Fair Value of Derivative Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option	\$ 4.41					11/14/2011 11/14/2018		Common	9,500
Incentive Stock Option	\$ 3.45					11/06/2012 11/06/2019		Common	4,550
Incentive Stock Option	\$ 1.56					12/13/2013 12/13/2020		Common	10,647
Non Qualified Stock Option	\$ 1.31					12/14/2014 12/14/2021		Common	5,000
Incentive Stock Option	\$ 2.31					01/02/2016 01/02/2023		Common	45,696
Incentive Stock Option	\$ 2.48					11/21/2016 11/21/2023		Common	44,000
Incentive Stock Option	\$ 7.79					<u>(1)</u> 03/13/2025		Common	38,511

Non Qualified Stock Option	\$ 7.79	(2)	03/13/2025	Common	38,511
Incentive Stock Option	\$ 5.29	(3)	11/23/2025	Common	16,635
Incentive Stock Option	\$ 3.15	(4)	11/16/2026	Common	29,333
Restricted Stock Unit	\$ 0	(5)	11/16/2019	Common	13,034
Restricted Stock Unit	\$ 0	(6)	11/23/2018	Common	4,075

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOBACK BOYD E 141 UNION BOULEVARD, #400 LAKEWOOD, CO 80228			President, CEO	

## Signatures

Boyd E. Hoback                      05/18/2017

\_\_Signature of                      Date  
Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The Incentive Stock Options vest in three equal annual installments with the first installment vesting March 13, 2016.
- (2) These Non-Qualified Stock Options vest in three equal annual installments with the first installment vesting March 13, 2016.
- (3) The Incentive Stock Options vest in three equal annual installments with the first installment vesting November 23, 2016.
- (4) The Incentive Stock Options vest in three equal annual installments with the first installment vesting November 16, 2017.

The reporting person was granted 19,551 restricted stock units on November 16, 2016 of which 1/3 of the total granted amount vested on  
 (5) November 16, 2017. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange Commission.

The reporting person was granted 12,227 restricted stock units on November 23, 2015 of which 1/3 of the shares of the grant vested on  
 (6) November 23, 2016 and an additional 1/3 of the shares vested on November 23, 2017. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange Commission.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.