

Whitehurst James M  
Form 4  
August 08, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Whitehurst James M

(Last) (First) (Middle)

C/O RED HAT, INC., 100 EAST  
DAVIE STREET

(Street)

RALEIGH, NC 27601

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

RED HAT INC [RHT]

3. Date of Earliest Transaction  
(Month/Day/Year)

08/06/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

CEO & President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 08/06/2018                              |                                                             | M <sup>(1)</sup>                     | 80,784 A                                                                | \$ 0 445,557                                                                                                       | D                                                                       |                                                                   |
| Common<br>Stock                       | 08/06/2018                              |                                                             | F <sup>(2)</sup>                     | 36,312 D                                                                | \$ 142.86 409,245                                                                                                  | D                                                                       |                                                                   |
| Common<br>Stock                       | 08/07/2018                              |                                                             | S <sup>(3)</sup>                     | 40,372 D                                                                | \$ 142.49 368,873                                                                                                  | D                                                                       |                                                                   |
| Common<br>Stock                       | 08/07/2018                              |                                                             | S <sup>(3)</sup>                     | 3,600 D                                                                 | \$ 143.11 365,273                                                                                                  | D                                                                       |                                                                   |
|                                       | 08/07/2018                              |                                                             | S <sup>(3)</sup>                     | 500 D                                                                   | \$ 364,773                                                                                                         | D                                                                       |                                                                   |

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Common  
Stock

\$  
144.16  
(6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-----------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                            | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Performance<br>Share Units                          | \$ 0                                                                  | 08/06/2018                              |                                                             | M <sup>(1)</sup>                        |                                                                                                              | 80,784                                                         |     | <sup>(7)</sup>                                                      | <sup>(7)</sup>     | Common<br>Stock | 80,784                              |

## Reporting Owners

| Reporting Owner Name / Address                                                        | Relationships |           |                 |       |
|---------------------------------------------------------------------------------------|---------------|-----------|-----------------|-------|
|                                                                                       | Director      | 10% Owner | Officer         | Other |
| Whitehurst James M<br>C/O RED HAT, INC.<br>100 EAST DAVIE STREET<br>RALEIGH, NC 27601 | X             |           | CEO & President |       |

## Signatures

/s/ Brandon Asbill, Atty in Fact  
UPOA

08/08/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares earned by the reporting person under the Performance Share Unit Agreement between the reporting person and the Company dated August 6, 2014.

(2) Represents shares withheld from the reporting person to satisfy reporting person's tax obligations related to the vesting.

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- (3) This stock sale was effected pursuant to a Rule 10b5-1 trading plan effective November 7, 2017.

Represents the weighted average sale price per share. The shares were sold at prices ranging from \$141.91 - \$142.90 per share. Full information regarding the number of shares sold at each price shall be provided upon request to the Staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

- (4) Represents the weighted average sale price per share. The shares were sold at prices ranging from \$142.91 - \$143.65 per share. Full information regarding the number of shares sold at each price shall be provided upon request to the Staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

- (5) Represents the weighted average sale price per share. The shares were sold at prices ranging from \$143.86-144.44 per share. Full information regarding the number of shares sold at each price shall be provided upon request to the Staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

- (6) Performance share unit award's vesting is subject to the achievement of a performance objective relating to a significant increase in total shareholder return. Subject to continued service, (i) 50% of the award vests upon achievement of the performance objective and (ii) the remaining 50% vests on August 6, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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