Whiteley Sivan Form 4 July 13, 2018

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

**SECURITIES** 

burden hours per response... 0.5

\_ Other (specify

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Whiteley Sivan

Symbol

Square, Inc. [SQ]

(Last) (First) (Middle)

(Last) (First) (Middle)

(Month/Day/Year)

S Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Check all applicable)

(Month/Day/Year)

Director

Director

10% Owner

1455 MARKET STREET, SUITE 600

(Street)

(Ctota)

4. If Amendment, Date Original

05/01/2018

below) below)
Gen. Counsel & Corp. Secretary

6. Individual or Joint/Group Filing(Check

X\_ Officer (give title

Filed(Month/Day/Year)

Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

Person

SAN FRANCISCO, CA 94103

| (City)     | (State) (A          | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |            |                        |                    |              |              |  |  |
|------------|---------------------|--|------------|------------------------|--------------------|--------------|--------------|--|--|
| 1.Title of | 2. Transaction Date | 2A. Deemed   | 3.         | 4. Securities Acquired | 5. Amount of       | 6. Ownership | 7. Nature of |  |  |
| Security   | (Month/Day/Year)    | Execution Date, if   | Transactio | on(A) or Disposed of   | Securities         | Form: Direct | Indirect     |  |  |
| (Instr. 3) |                     | any  | Code       | (D)                    | Beneficially       | (D) or       | Beneficial   |  |  |
|            |                     | (Month/Day/Year)   | (Instr. 8) | (Instr. 3, 4 and 5)    | Owned              | Indirect (I) | Ownership    |  |  |
|            |                     |  |            |                        | Following          | (Instr. 4)   | (Instr. 4)   |  |  |
|            |                     |  |            | (A)                    | Reported           |              |              |  |  |
|            |                     |  |            | or                     | Transaction(s)     |              |              |  |  |
|            |                     |  | Code V     | Amount (D) Price       | (Instr. 3 and 4)   |              |              |  |  |
| Class A    |                     |  |            | ф                      |                    |              |              |  |  |
| Common     | 05/01/2018          |  | F          | 244 (1) D \$ 48.2      | 126,031 <u>(2)</u> | D            |              |  |  |
| Stock      |                     |  |            | 40.2                   |                    |              |              |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Whiteley Sivan - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D | ate         | Amou    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)       | Under   | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |             | Securi  | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities | 3            |             | (Instr. | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |              |             |         |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |              |             |         |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |              |             |         |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |              |             |         |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |              |             |         |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |              |             |         |          |             |        |
|             |             |                     |                    |            |            |              |             |         | Amount   |             |        |
|             |             |                     |                    |            |            |              |             |         | or       |             |        |
|             |             |                     |                    |            | Date E     | Expiration   |             | Number  |          |             |        |
|             |             |                     |                    |            |            | Exercisable  | Date        | Title   | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |              |             |         | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Whiteley Sivan 1455 MARKET STREET SUITE 600 SAN FRANCISCO, CA 94103

Gen. Counsel & Corp. Secretary

## **Signatures**

/s/ Jason Gao, 07/13/2018 Attorney-in-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares that have been withheld by the Issuer to satisfy its income tax and withholding and remittance obligations in connection with the net settlement of restricted stock units and does not represent a sale by the Reporting Person.
- (2) The number of shares owned following the reported transaction is as of May 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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