

TUFANO PAUL J  
Form 4  
April 03, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TUFANO PAUL J

(Last) (First) (Middle)

C/O ENERSYS, 2366 BERNVILLE  
ROAD

(Street)

READING, PA 19605

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
EnerSys [ENS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/30/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                    | V                                                                   | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                   |                                                                   |
| Common<br>Stock                       | 03/30/2018                              |                                                             | A                                       |                                                                     | 22.1153<br>(1)                                                                                                     | A \$ 0 11,828.3877                                                      | D                                                                 |
| Common<br>Stock                       | 03/30/2018                              |                                                             | A                                       |                                                                     | 7.2385<br>(2)                                                                                                      | A \$ 0 11,835.6262                                                      | D                                                                 |
| Common<br>Stock                       | 03/30/2018                              |                                                             | A                                       |                                                                     | 0.0407<br>(3)                                                                                                      | A \$ 0 11,835.6669                                                      | D                                                                 |
| Common<br>Stock                       | 03/30/2018                              |                                                             | A                                       |                                                                     | 0.0887<br>(4)                                                                                                      | A \$ 0 11,835.7556                                                      | D                                                                 |
| Common<br>Stock                       | 03/30/2018                              |                                                             | A                                       |                                                                     | 0.1315<br>(5)                                                                                                      | A \$ 0 11,835.8871                                                      | D                                                                 |



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- These shares were granted in the form of Restricted Stock Units ("RSUs"), in connection with the Dividend, with respect to 2,869.3504 vested RSUs granted to the reporting person on various dates under the EnerSys Deferred Compensation Plan for Non-Employee Directors (the "Plan"), and adjusted for previously declared and paid cash dividends. These RSUs are vested and payable concurrent with the underlying RSUs.
- (2) These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 16.1196 unvested RSUs granted to the reporting person on April 11, 2017, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.
  - (4) These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 35.1767 unvested RSUs granted to the reporting person on July 17, 2017, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.
  - (5) These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 52.1307 unvested RSUs granted to the reporting person on October 10, 2017, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.
  - (6) These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 67 unvested RSUs granted to the reporting person on January 16, 2018, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.