#### MARZEC ROBERT J

Form 4 October 03, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

36 PARK LANE

1. Name and Address of Reporting Person \*

MARZEC ROBERT J

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

APOGEE ENTERPRISES, INC.

[APOG]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

below)

10% Owner Officer (give title Other (specify

09/30/2017

Filed(Month/Day/Year)

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

X\_ Director

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

MINNEAPOLIS, MN 55416

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4)

(Instr. 4)

Reported (A) or Code V Amount (D) Price

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise any

5. Number 6. Date Exercisable and **Expiration Date** Transactionof Derivative (Month/Day/Year) Code

7. Title and Amount of 8. Price **Underlying Securities** Derivati (Instr. 3 and 4) Security

#### Edgar Filing: MARZEC ROBERT J - Form 4

| (Instr. 3)                    | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8 |   | Securi<br>Acquir<br>(A) or<br>Dispos<br>of (D)<br>(Instr.<br>and 5) | red<br>sed<br>3, 4, |                     |                    |                 |  | (Instr. 5) |
|-------------------------------|------------------------------------|------------|------------------|-----------|---|---|---------------------|---------------------|--------------------|-----------------|--|------------|
|                               |                                    |            |                  | Code      | V | (A)   | (D)                 | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |            |
| Phantom<br>Stock<br>Units (1) | \$ 0 (2)                           | 09/30/2017 |                  | A         | 4 | 495   |                     | <u>(1)</u>          | <u>(1)</u>         | Common<br>Stock | 495                                    | \$ 48.2    |
| Phantom<br>Stock<br>Units (1) | \$ 0 (2)                           | 09/30/2017 |                  | A(3)      |   | 44  |                     | <u>(1)</u>          | <u>(1)</u>         | Common<br>Stock | 44                                     | \$ 48.2    |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |  |
|---------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| Topolong o mior rumo / rumoso   | Director      | 10% Owner | Officer | Other |  |  |  |  |
| MARZEC ROBERT J<br>36 PARK LANE | X             |           |         |       |  |  |  |  |
| MINNEAPOLIS, MN 55416           |               |           |         |       |  |  |  |  |

# **Signatures**

/s/ Patricia A. Beithon, Attorney-in-Fact for Robert J.
Marzec 10/02/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The phantom stock units were allocated under the Deferred Compensation Plan for Non-Employee Directors. The units of phantom stock (1) will be settled in shares of common stock following the director's termination from the Board in accordance with the election of the reporting person, or following the occurrence of other events specified in the Plan.
- (2) Settled 1-for-1.
- (3) Units acquired pursuant to a dividend equivalent reinvestment feature of the Deferred Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2