NANOMETRICS INC

Form 4 May 04, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

Expires: January 31, 2005
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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person ** Rhine Bruce C	2. Issuer Name <b>and</b> Ticker or Trading Symbol NANOMETRICS INC [NANO]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle)	3. Date of Earliest Transaction	(Check an applicable)		
	(Month/Day/Year)	X Director 10% Owner		
C/O NANOMETRICS, INC., 1550 BUCKEYE DRIVE	05/02/2016	Officer (give title Other (specify below)		
(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
MILPITAS, CA 95035	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

							CISOII		
(City)	(State)	(Zip) <b>Tabl</b>	e I - Non-D	erivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	05/02/2016		M(1)	7,850	A	\$ 8.89	814,686	D	
Common Stock	05/02/2016		M(1)	5,164	A	\$ 8.89	819,850	D	
Common Stock	05/02/2016		S <u>(1)</u>	13,014	D	\$ 17.85 (2)	806,836	D	
Common Stock							1,428	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nu of Sha
Non-Qualified Stock Option (right to buy)	\$ 8.89	05/02/2016		M <u>(1)</u>	7,850	<u>(3)</u>	07/24/2016 <u>(4)</u>	Common Stock	7,
Non-Qualified Stock Option (right to buy)	\$ 8.89	05/02/2016		M <u>(1)</u>	5,164	<u>(3)</u>	07/24/2016 <u>(4)</u>	Common Stock	5,

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>rg</b>	Director	10% Owner	Officer	Other			
Rhine Bruce C							
C/O NANOMETRICS, INC.	X						
1550 BUCKEYE DRIVE	Λ						
MILPITAS, CA 95035							

### **Signatures**

/s/Jeffrey Andreson,
Attorney-in-Fact

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction pursuant to Rule 10b5-1 Plan adopted February 17, 2015.
- (2) The price in Column 4 is a weighted average sale price. The prices actually received ranged from \$17.70 to \$18.10. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares

Reporting Owners 2

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sold at each price within the range.

- (3) Fully vested.
- (4) The acquisition of the reported option was reported in a Form 4 filed on July 26, 2006 which mistakenly reported the expiration date as July 24, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.