

Viking Therapeutics, Inc.  
Form 4  
May 06, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Lian Brian

(Last) (First) (Middle)

C/O VIKING THERAPEUTICS, INC., 11119 NORTH TORREY PINES ROAD, SUITE 50

(Street)

SAN DIEGO, CA 92037

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Viking Therapeutics, Inc. [VKTX]

3. Date of Earliest Transaction (Month/Day/Year)  
05/04/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, par value \$0.00001 per share	05/04/2015		D		2,313,406 <sup>(1)</sup>	D	<u>(2)</u> 1,336,594 <sup>(3)</sup>
Common Stock, par value \$0.00001 per share	05/04/2015		A <sup>(4)</sup>		87,500	A	\$ 0 1,424,094
	05/04/2015		C		2,731	A	<u>(5)</u> 1,426,825

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Common  
Stock, par  
value  
\$0.00001  
per share

Common  
Stock, par  
value  
\$0.00001  
per share

05/04/2015

C

9,927

A

(5)

1,436,752

D

Common  
Stock, par  
value  
\$0.00001  
per share

05/04/2015

F(6)

16,346

A

\$  
9.49

1,453,098

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Stock Option (Right to Buy)	\$ 9.49	05/04/2015		A	87,500	05/04/2015 <sup>(7)</sup>	05/04/2025	Common Stock
Convertible Note due 2015	\$ 6 <sup>(5)</sup>	05/04/2015		C	\$ 16,390	<sup>(5)</sup>	<sup>(5)</sup>	Common Stock
Convertible Note due 2015	\$ 6 <sup>(5)</sup>	05/04/2015		C	\$ 59,563	<sup>(5)</sup>	<sup>(5)</sup>	Common Stock

