Edgar Filing: SERVICE CORPORATION INTERNATIONAL - Form 4

SERVICE CORPORATION INTERNATIONAL

Form 4

Stock

Common

November 05, 2014

November (05, 2014											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION										OMB APPROVAL		
	ONTED	STATES			AND EX n, D.C. 20		ANGE CO	OMMISSION	OMB Number:	3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Expires: January 31 2005 Estimated average burden hours per response 0.5				
(Print or Type	Responses)											
1. Name and AWALTRIP	Address of Reporting R L	Person *	Symbol SERVI	CE COF	nd Ticker of RPORATI NAL [SC:	ON	0	5. Relationship of I Issuer (Check	Reporting Pers			
(Last) 1929 ALLF		Day/Year)	Transaction		-	_X_ Director 10% Owner _X_ Officer (give title Other (specify below) Chairman of the Board						
HOUSTON	(Street)			endment, l onth/Day/Ye	Oate Origina ear)	al	4	5. Individual or Joi Applicable Line) _X_ Form filed by Oi Form filed by Mo	ne Reporting Per	rson		
HOUSTON	N, TX 77019]	Person	•			
(City)	(State)	(Zip)	Tab	le I - Non	-Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transacti Code (Instr. 8)	omr Dispos (Instr. 3,	(A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	11/03/2014			M	27,300	A	\$ 4.185	826,227	D			
Common Stock	11/03/2014			S	27,300	D	\$ 22.0001	798,927	D			
Common Stock								460,133	I	See Footnote		

(2)

See

(4)

Footnote

367,150 <u>(3)</u>

I

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Common Stock	101,234 (3)	I	See Footnote (5)
Common Stock	51,113	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of D) Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 4.185	11/03/2014		M		27,300	02/10/2012	02/10/2017	Common Stock	27,300

Reporting Owners

Relationships							
Director	10% Owner	Officer	Other				
X		Chairman of the Board					
		Director 10% Owner	Director 10% Owner Officer				

Signatures

(right to buy)

Curtis G. Briggs, Attorney-in-Fact for R. L. Waltrip

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares were sold in multiple transactions ranging from \$22.00 to \$22.01 per share. The \$22.0001 sale price reported above is the
- (1) weighted average sales price. The reporting person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (2) The indicated shares are held in trusts under will of Wanda A. McGee.
- (3) Beneficial ownership of the indicated shares is disclaimed.
- (4) The indicated shares are held by three Wanda A. McGee 1972 Trusts.
- (5) The indicated shares are held by the Robert L. Waltrip Family Trusts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.