

ARCH COAL INC
Form 3/A
March 07, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Kelley Allen R.

(Last) (First) (Middle)

ONE CITYPLACE
DRIVE,Â SUITE 300

(Street)

ST. LOUIS,Â MOÂ 63141

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/03/2014

3. Issuer Name and Ticker or Trading Symbol
ARCH COAL INC [ACI]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner

☒ Officer ___ Other

(give title below) (specify below)

VP - Human Resources

5. If Amendment, Date Original Filed(Month/Day/Year)

03/05/2014

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

400

D

Â

Common Stock

2,710

I

By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options	Â <u>(1)</u>	02/27/2017	Employee Stock Options	4,150	\$ 32.99	D	Â
Employee Stock Options	Â <u>(2)</u>	02/21/2018	Employee Stock Options	2,250	\$ 52.69	D	Â
Employee Stock Options	Â <u>(3)</u>	02/19/2019	Employee Stock Options	3,750	\$ 14.05	D	Â
Employee Stock Options	Â <u>(4)</u>	02/18/2020	Employee Stock Options	2,800	\$ 22.65	D	Â
Employee Stock Options	Â <u>(5)</u>	02/24/2021	Employee Stock Options	2,300	\$ 32.49	D	Â
Employee Stock Options	Â <u>(6)</u>	02/23/2022	Employee Stock Options	3,750	\$ 13.93	D	Â
Employee Stock Options	Â <u>(7)</u>	02/28/2023	Employee Stock Options	7,400	\$ 5.23	D	Â
Restricted Stock Units	Â <u>(8)</u>	Â <u>(9)</u>	Restricted Stock Units	1,500	\$ 13.93	D	Â
Restricted Stock Units	Â <u>(10)</u>	Â <u>(9)</u>	Restricted Stock Units	3,600	\$ 5.23	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kelley Allen R. ONE CITYPLACE DRIVE SUITE 300 ST. LOUIS, MO 63141	Â	Â	Â VP - Human Resources	Â

Signatures

/s/ Jon S. Ploetz,
Attorney-in-Fact

03/07/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person received 4,150 stock options on February 22, 2007. All the stock options vested on February 22, 2010.
- (2) The reporting person received 2,250 stock options on February 21, 2008. All the stock options vested on February 21, 2011.
- (3) The reporting person received 3,750 stock options on February 19, 2009. All the stock options vested on February 19, 2013.
- (4) The reporting person received 2,800 stock options on February 18, 2010. All stock options vested on February 18, 2014.
- (5) The reporting person received 2,300 stock options on February 24, 2011. All the stock options vested on February 24, 2014.
- (6) The reporting person received 3,750 stock options on February 23, 2012. The stock options vest ratably over 3 years as follows: 1,250 shares vested on February 23, 2013; 1,250 shares vested on February 23, 2014; and 1,250 shares will vest on February 23, 2015.
- (7) The reporting person received 7,400 stock options on February 28, 2013. The options will vest ratably over 3 years as follows: 2,467 shares vested on February 28, 2014; 2,467 shares will vest on February 28, 2015; and 2,466 shares will vest on February 28, 2016.
- (8) The reporting person received 1,500 restricted stock units on February 23, 2012. All of these restricted stock units vest on February 23, 2015.
- (9) The restricted stock units do not expire.
- (10) The reporting person received 3,600 restricted stock units on February 28, 2013. All of these restricted stock units vest on February 28, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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