#### RPM INTERNATIONAL INC/DE/

Form 4 July 22, 2013

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

response... 0.5

burden hours per

5. Relationship of Reporting Person(s) to

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SULLIVA	AN FRANK C	-	Symbol	I INTERN	NATION A		C	Issuer (C	heck all appli	cable)
(Last) 2628 PEA	(First) ARL ROAD, P.O.	(Middle) BOX 777		/Day/Year	t Transactio	n		below)	give titlebelow below hairman and	
MEDINA	(Street)			nendment, Ionth/Day/Y	Date Origi (ear)	nal			)	ng Person
(City)	(State)	(Zip)	Tra-	bla I Na	Danimati	C	<b>:4: A</b> -	Person	d of ou Dougl	€ alalla O ad
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		ed Date, if	3.	4. Securi ion(A) or Di (Instr. 3,	ties Ac sposed 4 and : (A) or	equired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.01 par value	07/18/2013			A(1)	11,408	A	\$ 0	934,282	D	
Common Stock, \$0.01 par value	07/18/2013			A(2)	60,000	A	\$ 0	994,282	D	
Common Stock, \$0.01 par value	07/19/2013			F(3)	42,082	D	\$ 34.12	952,200 (4)	D	

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Common Stock, \$0.01 par value	25,000	I	By LLC
Common Stock, \$0.01 par value	10,809	I	By Thomas C. and Sandra S. Sullivan Irrevocable Grandchildren's Trust dated May 8, 2006
Common Stock, \$0.01 par value	9,630	I	By Thomas C. Sullivan Irrevocable Trust FBO Frank C. Sullivan 10/26/12
Common Stock, \$0.01 par value	3,000	I	As custodian for son
Common Stock, \$0.01 par value	3,000	I	As custodian for son
Common Stock, \$0.01 par value	3,000	I	As custodian for son
Common Stock, \$0.01 par value	12,500	I	By Generation-Skipping Trust for son
Common Stock, \$0.01 par value	12,500	I	By Generation-Skipping Trust for son
Common Stock, \$0.01 par value	12,500	I	By Generation-Skipping Trust for son
Common Stock, \$0.01 par value	12,500	I	By Generation-Skipping Trust for son
Common Stock, \$0.01 par value	4,089 (5)	I	By 401(k) Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou Numl Share
Stock Option (Right to Buy)	<u>(6)</u>					<u>(7)</u>	10/29/2014	Common Stock	125.
Stock Appreciation Rights	\$ 33.8	07/18/2013		A	200,000	(8)	07/18/2023	Common Stock	200,

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
SULLIVAN FRANK C 2628 PEARL ROAD P.O. BOX 777 MEDINA, OH 44258	X		Chairman and CEO				

## **Signatures**

/s/ Frank C. Sullivan, by Gregory J. Dziak, his attorney-in-fact pursuant to Power of Attorney dated May 14, 2007 on file with the Commission

07/22/2013

SEC 1474

(9-02)

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was granted 11,408 shares of Common Stock, issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan.
- (2) The reporting person was granted 60,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan.

(3)

Reporting Owners 3

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On July 19, 2013, 100,000 shares of Common Stock issued to the reporting person as Performance Earned Restricted Stock pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan (the "Plan") vested. In accordance with the Plan, the reporting person disposed of 42,082 shares of Common Stock back to the Issuer to satisfy tax obligations of the reporting person.

Includes an aggregate of 53,661 shares of Common Stock issued pursuant to the 1997 RPM International Inc. Restricted Stock Plan, as amended, 150,621 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan, 240,000 shares of

- (4) Common Stock issued as Performance Contingent Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan, and 180,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan.
- (5) Approximate number of shares held as of July 18, 2013 in the account of reporting person by Wachovia Bank, N.A., as Trustee of the RPM International Inc. 401(k) Trust and Plan, as amended.
- (6) No transaction is being reported on this line. Reported on a previously filed Form 3, Form 4, or Form 5.
- Granted pursuant to the RPM International Inc. 1996 Key Employees Stock Option Plan, as amended in an exempt transaction under Rule (7) 16b-3. These options vest and become exercisable in 25% increments over four years commencing one year after the date of grant. These options were granted on October 29, 2004 and expire 10 years from the date of grant.
- (8) The Stock Appreciation Rights vest in four equal installments, beginning on July 18, 2014.
- Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan in an exempt (9) transaction under Rule 16b-3. These Stock Appreciation Rights vest in four equal installments commencing one year after the date of grant. These Stock Appreciation Rights were granted between 2005 and 2013 and expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.