

NEUSTAR INC
Form 4
October 04, 2006

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BABKA JEFFREY

(Last) (First) (Middle)

46000 CENTER OAK PLAZA

(Street)

STERLING, VA 20166

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NEUSTAR INC [NSR]

3. Date of Earliest Transaction
(Month/Day/Year)
10/02/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Sr. VP and CFO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Class A Common Stock | 10/02/2006 | | M | | 40,996 | A | \$ 6.25 |
| | | | | | 54,696 | (1) | |
| Class A Common Stock | 10/02/2006 | | S | | 20 | D | \$ 26.8 |
| Class A Common Stock | 10/02/2006 | | S | | 503 | D | \$ 26.83 |
| Class A Common Stock | 10/02/2006 | | S | | 281 | D | \$ 26.86 |
| | | | | | 53,892 | | |

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| | | | | | | | | |
|----------------------------|------------|---|-------|---|----------|--------|---|--|
| Stock | | | | | | | | |
| Class A Common Stock | 10/02/2006 | S | 2,332 | D | \$ 26.9 | 51,560 | D | |
| Class A Common Stock | 10/02/2006 | S | 80 | D | \$ 26.92 | 51,480 | D | |
| Class A Common Stock | 10/02/2006 | S | 100 | D | \$ 26.93 | 51,380 | D | |
| Class A Common Stock | 10/02/2006 | S | 643 | D | \$ 26.94 | 50,737 | D | |
| Class A Common Stock | 10/02/2006 | S | 704 | D | \$ 26.96 | 50,033 | D | |
| Class A Common Stock | 10/02/2006 | S | 342 | D | \$ 26.97 | 49,691 | D | |
| Class A Common Stock | 10/02/2006 | S | 20 | D | \$ 26.99 | 49,671 | D | |
| Class A Common Stock | 10/02/2006 | S | 2,754 | D | \$ 27 | 46,917 | D | |
| Class A Common Stock | 10/02/2006 | S | 724 | D | \$ 27.01 | 46,193 | D | |
| Class A Common Stock | 10/02/2006 | S | 1,849 | D | \$ 27.02 | 44,344 | D | |
| Class A Common Stock | 10/02/2006 | S | 482 | D | \$ 27.03 | 43,862 | D | |
| Class A Common Stock | 10/02/2006 | S | 324 | D | \$ 27.04 | 43,538 | D | |
| Class A Common Stock | 10/02/2006 | S | 460 | D | \$ 27.05 | 43,078 | D | |
| Class A Common Stock | 10/02/2006 | S | 121 | D | \$ 27.06 | 42,957 | D | |

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| | | | | | | | |
|----------------------------|------------|---|-------|---|-------------|-----------------------|---|
| Class A Common Stock | 10/02/2006 | S | 382 | D | \$ 27.07 | 42,575 | D |
| Class A Common Stock | 10/02/2006 | S | 40 | D | \$ 27.08 | 42,535 | D |
| Class A Common Stock | 10/02/2006 | S | 80 | D | \$ 27.09 | 42,455 | D |
| Class A Common Stock | 10/02/2006 | S | 583 | D | \$ 27.1 | 41,872 | D |
| Class A Common Stock | 10/02/2006 | S | 1,045 | D | \$ 27.11 | 40,827 | D |
| Class A Common Stock | 10/02/2006 | S | 1,065 | D | \$ 27.12 | 39,762 | D |
| Class A Common Stock | 10/02/2006 | S | 302 | D | \$ 27.13 | 39,460 | D |
| Class A Common Stock | 10/02/2006 | S | 201 | D | \$ 27.14 | 39,259 | D |
| Class A Common Stock | 10/02/2006 | S | 20 | D | \$ 27.16 | 39,239 | D |
| Class A Common Stock | 10/02/2006 | S | 40 | D | \$ 27.17 | 39,199 | D |
| Class A Common Stock | 10/02/2006 | S | 80 | D | \$ 27.18 | 39,119 | D |
| Class A Common Stock | 10/02/2006 | S | 40 | D | \$ 27.23 | 39,079 ⁽¹⁾ | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Employee Stock Option | \$ 6.25 | 10/02/2006 | | M | 40,996 | <u>(2)</u> 06/22/2014 | Class A Common Stock 40,996 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| BABKA JEFFREY 46000 CENTER OAK PLAZA STERLING, VA 20166 | | | Sr. VP and CFO | |

Signatures

/s/ Martin Lowen, by power of attorney
10/04/2006
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,700 shares that are subject to a restricted stock agreement under the NeuStar, Inc. 2005 Stock Incentive Plan, which provides that twenty-five percent of the shares vest on each of February 22, 2007, 2008, 2009 and 2010.
- (2) 159,372 options are immediately exercisable with the remaining options vesting in monthly installments through April 2008.

Remarks:

*** All of the sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person

Form 4 Filing 1 of 2 (continuation report): Related transactions effected by the Reporting Person on October 2, 2006 are reported

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.